

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. GEOC.P0003D1

First Named Inventor: Shimomura, et al.

Title: A Broadband Data Broadcasting Service

Express Mail Label No. EL552915793US

## CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Express Mail Label No.: EL552915793US

Date of Deposit: February 28, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Dag Johansen

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

Assistant Commissioner for Patents  
ADDRESS TO: Box Patent Application  
Washington, DC 20231

- |  |   |
|--|---|
| 1. <input type="checkbox"/> Fee Transmittal Form<br>(Submit an original, and a duplicate for fee processing)   | 6. * <input type="checkbox"/> Microfiche Computer Program (Appendix)  |
| 2. <input checked="" type="checkbox"/> Specification -- Total Pages <input type="text" value="45"/> --<br>including:<br>- Title page<br>- Background of the Invention<br>- Brief Summary of the Invention<br>- Brief Description of the Drawings<br>- Detailed Description<br>- Claim(s)<br>- Abstract of the Disclosure   | 7. * <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission<br>(if applicable, all necessary)<br>a. <input type="checkbox"/> Computer Readable Copy<br>b. <input type="checkbox"/> Paper Copy (identical to computer copy)<br>c. <input type="checkbox"/> Statement verifying identity of above copies |
| 3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) -- Total Sheets <input type="text" value="13"/> --  | 8. * <input type="checkbox"/> Assignment Papers (cover sheet & document(s))   |
| 4. <input checked="" type="checkbox"/> Oath or Declaration) -- Total Pages <input type="text" value="16"/> --<br>a. <input type="checkbox"/> Newly executed (original or copy)<br>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)<br>(for continuation/divisional with Box 17 completed)<br>[Note Box 5 below]<br>i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u><br>Signed statement attached deleting inventor(s) named in<br>the prior application, see 37 CFR 1.63(d)(2) and 1.33(b) | 9. * <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney<br>(when there is an assignee)  |
| 5. <input checked="" type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked)<br>The entire disclosure of the prior application, from which a copy of the<br>oath or declaration is supplied under Box 4b, is considered as being<br>part of the disclosure of the accompanying application and is hereby<br>incorporated by reference therein.   | 10. * <input type="checkbox"/> English Translation Document (if applicable)   |
|  | 11. * <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS<br>Citations  |
|  | 12. <input checked="" type="checkbox"/> Preliminary Amendment   |
|  | 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br>(Should be specifically itemized)   |
|  | 14. * <input type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior application,<br>Statement(s) Status still proper and desired  |
|  | 15. * <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is<br>claimed)   |
|  | 16. * <input type="checkbox"/> .....  |

## 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

\*  Continuation  Divisional  Continuation-in-part (CIP) of prior application No: 09/293,594

## 18. CORRESPONDENCE ADDRESS

Dag Johansen, Esq.  
GEOCAST NETWORK SYSTEMS, INC.  
190 Independence Drive  
Menlo Park, California 94025  
Telephone: (650) 566.3214  
Facsimile: (650) 8112

Name	Dag Johansen	Registration Number:	36,172
Signature		Date:	February 28, 2000

Serial/Patent No.: 09/293,594 Filing/Issue Date: 4/16/99  
Client: GEOCAST NETWORK SYSTEMS, INC.  
Title: A Broadband Data Broadcasting Service

bstz File No.: 00167.P003 Atty/Secty Initials: DHJ/gre  
Date Mailed: 7/6/99 Docket Due Date: \_\_\_\_\_

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- |   |  |   |
|---|--|---|
| <input type="checkbox"/> Amendment/Response (____ pgs.)                                       | <input type="checkbox"/> Express Mail No.: _____                                     | <input checked="" type="checkbox"/> Check No. <u>17</u> |
| <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate)                             | <input type="checkbox"/> _____ Month(s) Extension of Time                            | Amt: <u>40.00</u>                                       |
| <input type="checkbox"/> Application - Utility (____ pgs. with cover and abstract)            | <input type="checkbox"/> Information Disclosure Statement & PTO-149 (____ pgs.)      | <input type="checkbox"/> Check No. _____                |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.)                  | <input type="checkbox"/> Issue Fee Transmittal                                       | Amt: _____  |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.)                    | <input type="checkbox"/> Notice of Appeal  |   |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.)                           | <input type="checkbox"/> Petition for Extension of Time                              |   |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.)               | <input type="checkbox"/> Petition for _____  |   |
| <input type="checkbox"/> Application - Design (____ pgs.)                                     | <input checked="" type="checkbox"/> Postcard   |   |
| <input type="checkbox"/> Application - PCT (____ pgs.)  | <input type="checkbox"/> Power of Attorney (____ pgs.)                               |   |
| <input type="checkbox"/> Application - Provisional (____ pgs.)                                | <input type="checkbox"/> Preliminary Amendment (____ pgs.)                           |   |
| <input checked="" type="checkbox"/> Assignment and Cover Sheet ( <i>Assignments 3 pages</i> ) | <input type="checkbox"/> Reply Brief (____ pgs.)                                     |   |
| <input type="checkbox"/> Certificate of Mailing   | <input type="checkbox"/> Response to Notice of Missing Parts                         |   |
| <input type="checkbox"/> Declaration & POA (____ pgs.)  | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business |   |
| <input type="checkbox"/> Disclosure Docs & Ctg & Copy of Inventor's Signed Letter (____ pgs.) | <input type="checkbox"/> Transmittal Letter, in duplicate                            |   |
| <input type="checkbox"/> Drawings: _____ # of sheets includes _____ figures                   | <input type="checkbox"/> Fee Transmittal, in duplicate                               |   |
| <input type="checkbox"/> Other: _____   |  |   |

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on February 28, 2000 with Express Mail label number EL552915793US and is addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

  
Dag Johansen

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

Shimomura, et al.

Serial No.: <not yet assigned>

Filing Date: February 28, 2000

For: **A Broadband Data Broadcasting Service**

Examiner: <not yet assigned>

Group Art Unit: <not yet assigned>

**PRELIMINARY AMENDMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

The Applicants received a restriction requirement dated January 1, 2000 for the parent application. In a response to the restriction requirement, the Applicants elected claim Group I. The above-identified divisional patent application has been filed to obtain protection for some of the nonelected claims. This preliminary amendment for a divisional application also includes additional claims to further protect the disclosed inventions. Please amend the divisional patent applications as follows.

IN THE TITLE:

Please change the title of the application from “A Broadband Data Broadcasting Service” to --Methods of Operating A Data Broadcast Service--.

IN THE SPECIFICATION:

On page 2, line 2, please insert the following paragraph --

PRIOR APPLICATIONS:

This patent application is a continuation of the patent application entitled “A Broadband Data Broadcasting Service” filed on 4/16/99 having serial number 09/293,594. --

On page 14, line 14, please replace “**557**” with --**257**-- in order to have the specification conform to the drawing.

On page 15, line 13, please replace “receive” with --receiver--.

On page 22, line 1, please replace “**Data Broadcast Receiver/Server Hardware**” with -- **Data Broadcast Receiver/Server Software**-- in order to have the section title conform to the section text.

IN THE CLAIMS:

Please amend the claims as follows:

Please delete claims 1 to 11

1                   12. (Amended)       A method of generating revenue, said method  
2 comprising:  
3                   distributing a plurality of data broadcast receiver systems, said data broadcast  
4                   receiver systems comprising  
5                    receiver circuitry for demodulating and decoding an encoded digital  
6                    information signal,  
7                    a cache for storing multimedia information from said encoded digital  
8                    information signal, and  
9                    interface circuitry for coupling a receiver system to a client system to  
10                   output said multimedia information to said client system;  
11                   receiving a broadcast and retention fee from an entity desiring to distribute  
12                   designated digital information; [and]  
13                   broadcasting said designated digital information to said plurality of data broadcast  
14                   receiver systems; and  
15                   caching said designated digital information in said cache on said data broadcast  
16                   receiver systems such that client systems coupled to said data broadcast  
17                   receiver systems may retrieve said designated digital information.

1                   13.     The method of claim 12 wherein said entity pays a fee related to an  
2                   amount of time that said designated digital information will be cached in said broadcast  
3                   receiver systems.

1                   14. The method of claim 12 wherein said entity pays a fee related to an  
2 amount of said designated digital information.

Please delete claims 15 to 21.

Please add the following claims

1                   22. (Added) The method of claim 12 wherein said entity pays a fee  
2 related to an amount of time that said designated digital information will be cached in  
3 said broadcast receiver systems.

1                   23. (Added) The method of claim 12 wherein said designated digital  
2 information comprises a catalog.

1                   24. (Added) The method of claim 23 wherein a user may browse said  
2 catalog.

1                   25. (Added) The method of claim 23 wherein a user may elect to  
2 purchase an item from said catalog using said client system.

1                   26. (Added) The method of claim 25 wherein information about said  
2 item from said catalog to be purchased is transmitted across an Internet connection.

1                   27. (Added) The method of claim 25 wherein information about said  
2 item from said catalog to be purchased is transmitted across a wireless connection.

1                   28. (Added) The method of claim 25 wherein said wireless connection  
2 comprises the Short Message Service.

1                   29. (Added) The method of generating revenue as claimed in claim 12  
2 wherein said method further comprises:  
3                   streaming real-time information received on said data broadcast receiver system  
4                   to said client system.

1                   30. (Added) The method of claim 12 wherein said client system  
2 comprises a personal computer system.

1                   31. (Added) A method of generating revenue, said method comprising:  
2                   distributing a plurality of data broadcast receiver systems, said data broadcast  
3                   receiver systems comprising  
4                   receiver circuitry for demodulating and decoding an encoded digital  
5                   information signal,  
6                   a cache for storing multimedia information recovered from said encoded  
7                   digital information signal; and  
8                   receiving a broadcast and retention fee from an entity desiring to distribute  
9                   designated digital information;  
10                  broadcasting said designated digital information to said plurality of data broadcast  
11                  receiver systems; and  
12                  caching said designated digital information in said cache on said data broadcast  
13                  receiver systems.

1                   32. (Added) The method of claim 31 wherein said entity pays a fee  
2                   related to an amount of time that said designated digital information will be cached in  
3                   said broadcast receiver systems.

1                   33. (Added) The method of claim 31 wherein said entity pays a fee  
2                   related to an amount of said designated digital information.

1                   34. (Added) The method of claim 31 wherein said fee related is to an  
2                   amount of time that said designated digital information will be cached in said broadcast  
3                   receiver systems.

1                   35. (Added) The method of claim 31 wherein said designated digital  
2                   information comprises a catalog.

1                   36. (Added) The method of generating revenue as claimed in claim 12  
2                   wherein said data broadcast receiver system further comprises:  
3                   interface circuitry for coupling a receiver system to a client system to  
4                   output said multimedia information to said client system.

1                   37. (Added) The method of claim 36 wherein said client system  
2                   comprises a personal computer system.

1                   38. (Added) A method of generating revenue, said method comprising:  
2                   distributing a plurality of data broadcast receiver systems, said data broadcast  
3                   receiver systems comprising

4                    receiver circuitry for demodulating and decoding an encoded digital  
5                    information signal,  
6                    a cache for storing multimedia information recovered from said encoded  
7                    digital information signal; and  
8                    receiving an advertising fee from an entity desiring to distribute designated digital  
9                    advertisements;  
10                  broadcasting said designated digital information to said plurality of data broadcast  
11                  receiver systems; and  
12                  displaying said advertisements on a display system used by a user of said data  
13                  broadcast receiver systems.

1                    39. (Added) The method of generating revenue as claimed in claim 38  
2                    wherein said data broadcast receiver system further comprises:  
3                    interface circuitry for coupling a receiver system to a client system to  
4                    output said multimedia information to said client system.

1                    40. (Added) The method of claim 39 wherein said client system  
2                    comprises a personal computer system and said display system comprises a personal  
3                    computer display associated with said personal computer system.

1                   41. (Added) The method of generating revenue as claimed in claim 38  
2 further comprising:  
3                   encrypting a subset of said encoded digital information signal;  
4                   decrypting said subset of said encoded digital information signal only within data  
5                   broadcast receiver systems own by a user that has paid a designated  
6                   subscription fee.

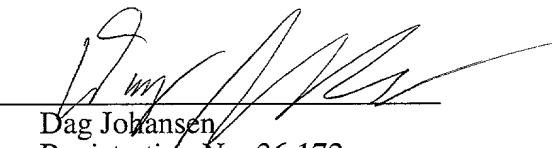
1                   42. (Added) The method of claim 41 wherein said decrypting is  
2 performed using a tamper-proof decryption system.

REMARKS

The Applicants respectfully request that the Examiner enter the above preliminary amendment before considering the divisional patent application. The amendments and additions to the claims have been made to better protect the disclosed invention.

Dated: February 28, 2000

Respectfully submitted,

By: 

Dag Johansen  
Registration No. 36,172

Geocast Network Systems, Inc.  
190 Independence Drive  
Menlo Park, California 94025  
Telephone: (650) 566-3214  
Facsimile: (650) 566-8112

APPLICATION FOR UNITED STATES LETTERS PATENT

FOR

**A Broadband Data Broadcasting Service**

Inventors: Tsutomu Shimomura  
Steve Waltman  
Mark Petting  
Castor Fu  
Dag Johansen  
Geoff Mulligan

Prepared by:

Dag Johansen  
100 Independence Drive  
Menlo Park, CA 94025

"Express Mail" mailing label number EL164803498US  
Date of Deposit 4/16/99

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Grace R. Cruz  
(Typed or printed name of person mailing paper or fee)  
(Signature of person mailing papers or fee)

# A Broadband Data Broadcasting Service

## RELATED APPLICATIONS

5                   This patent application is related to a patent application entitled "Method and Apparatus for Broadcasting Data With Access Control" having Serial Number \_\_\_\_\_, filed concurrently with this application.

## FIELD OF THE INVENTION

10                   The present invention relates to the field of digital communications. In particular the present invention discloses a broadband digital broadcast system for broadcasting digital information to a very large number of subscribers.

15                   BACKGROUND OF THE INVENTION

                         The Internet has become a major source of news and information for very large numbers of people. In particular, millions of Internet users browse 20 the World Wide Web (WWW) to obtain HyperText Markup Language (HTML) documents using the HyperText Transport Protocol (HTTP). Many people now receive more news and information from the Internet WWW sites than from traditional information sources such as television. The WWW portion of the Internet is an excellent medium for news and information since the WWW 25 Internet sites can provide information to users on-demand. Specifically, Internet

users can immediately request the exact information they are interested in whenever they wish from WWW Internet sites.

However, the Internet WWW system news information does suffer

- 5 from a number of deficiencies. One serious problem is that the limited bandwidth of most Internet connections severely limits the amount of information delivered. Most Internet users access the Internet through a dial-up modem at speeds of 56kps per second or less. With such limited bandwidth, most Internet based WWW sites only deliver text and static images. When video
- 10 information delivered through 56K Internet connections the video information is compressed so heavily such that only small low-resolution video images are delivered at a low frame rate.

To improve upon the performance of the Internet, many

- 15 telecommunication providers are now offering high-bandwidth connections for the "last mile" to an Internet user's residence. Cable television providers are now offering cable modem Internet service that use cable television wiring to deliver broadband Internet service. Similarly, telephone companies are rolling out Digital Subscriber Line (DSL) services that provide broadband Internet
- 20 service. Although these broadband data connections provide additional bandwidth, such broadband connections only address the "last mile" bandwidth problem associated with sending rich multi-media information across the Internet. Many other problems will continue to exist.

One problem of delivering rich multi-media information across the Internet is that there are no standard quality-of-service guarantees for Internet Protocol data traffic. All Internet Protocol traffic is delivered on a best effort basis such that Internet Protocol packets are often dropped. Due to the rapid 5 uncontrolled growth of the Internet, many severe Internet "traffic jams" have occurred at large Internet peering points such as MAE-East and MAE-West. Thus, even if a user has a broadband connection between his residence and his Internet Service Provider (ISP), there is no guarantee that the connection between the Internet Service Provider (ISP) and a desired Internet media server will provide 10 the bandwidth necessary for a rich multimedia stream.

Another problem with attempting to deliver rich multi-media information across the Internet is the point-to-point nature of Internet communication. Most Internet communication occurs in a unicast manner 15 wherein a unique communication connection is established between each information server and each Internet client. Since each Internet client requires its own connection, the bandwidth requirement for serving information grows linearly with the number of Internet clients being served. Furthermore, each Internet client that requests service adds additional load to the server systems 20 that service information. To serve rich multimedia information to a large number of client systems, a large powerful server farm is required. It is therefore quite expensive from the server end in both communication costs and computer costs to serve large amounts of rich multi-media information.

Due to the above-described problems associated with Internet delivery of multimedia information, the Internet will largely remain a text and static image based information source. It would be desirable to provide a multimedia rich information system that is similar to the Internet in terms of on-demand access of interesting information but without the bandwidth problems associated with the Internet network system.

## SUMMARY OF THE INVENTION

The present invention discloses a broadband data broadcast system that allows rich multimedia content to be delivered to the computer and

5 information appliance systems of limitless numbers of subscribers. The broadband data broadcast system operates by multiplexing a plurality of multimedia rich digital information streams together at a centralized data broadcast center. The data broadcast center then broadcasts the multiplexed digital information stream on a broadcast medium such as satellite broadcasts,

10 radio frequency broadcasts, or television broadcasts. A large number of receiver systems receive the broadcast signal and demodulate the broadcast signal to retrieve the multiplexed digital stream. The receiver system extracts a subset of digital information streams that the particular receiver system's owner has designated are of interest. The receiver system then output the interesting digital

15 information streams to a display system or caches the interesting digital information stream for later access.

Other objects, features, and advantages of present invention will be apparent from the company drawings and from the following detailed

20 description.

## BRIEF DESCRIPTION OF THE DRAWINGS

The objects, features, and advantages of the present invention will be apparent to one skilled in the art, in view of the following detailed description  
5 in which:

10 **Figure 1** illustrates an overview of a terrestrial data broadcast system.

15 **Figure 2a** illustrates an overview of a multiple broadcaster based terrestrial data broadcast system.

**Figure 2b** illustrates a block diagram of a multiple broadcaster based terrestrial data broadcast system.

20 **Figure 3a** illustrates a multimedia receiver/server system coupled to a personal computer system.

**Figure 3b** illustrates a multimedia receiver/server system coupled to a television set-top box system.

**Figure 3c** illustrates a multimedia receiver/server system coupled to a personal computer system that is also coupled to the Internet.

Figure 3d illustrates a multimedia receiver/server system coupled to a television set-top box system that is also coupled to the Internet.

Figure 4 illustrates an embodiment of a multimedia receiver/server system coupled to a computer network such that the multimedia receiver/server system serves multiple client systems.

Figure 5 illustrates an embodiment of a multimedia receiver/server system that receives a data broadcast from a direct video broadcast satellite.

10

Figure 6a illustrates an embodiment of a multimedia receiver/server system that receives a data broadcast on a digital television broadcaster signal.

15

Figure 6b illustrates a block diagram of a multimedia receiver/server system that receives data broadcasts from multiple digital television broadcasters.

20

Figure 7 illustrates a conceptual flow diagram of one possible software architecture for a multimedia receiver/server system.

Figure 8 illustrates a first possible screen display from a multimedia web page served by a multimedia receiver/server system.

Figure 9 illustrates a conceptual diagram of hierarchical multimedia information pages generated and stored by a multimedia receiver/server system.

5                   **Figure 10** illustrates a second possible screen display generated by and served from a multimedia web page served by a multimedia receiver/server system.

10                  **Figure 11** illustrates a third possible screen display generated by and served from a multimedia web page served by a multimedia receiver/server system.

## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

A method and apparatus for a directed data broadcast system is disclosed. In the following description, for purposes of explanation, specific  
5 nomenclature is set forth to provide a thorough understanding of the present invention. However, it will be apparent to one skilled in the art that these specific details are not required in order to practice the present invention. For example, the present invention has been described with reference to Internet multicasting. However, the same techniques can easily be applied to other types  
10 of data communication protocols.

### **Overview of A Data Broadcast System**

Figure 1 illustrates an overview of one embodiment of a data  
15 broadcast system that may incorporate the teachings of the present invention. Referring to Figure 1, a data broadcast control center 150 receives digital information from a number of different data origination sources 110, 113, and 115.

20 For example, a first data origination source 110 transmits a digital information stream to a data broadcast facility 150 along a data communication channel 130. The data origination source 110 may be any entity that provides digital information for broadcast data over a data broadcast medium. One type of entity that may provide digital information for broadcast data over a data  
25 broadcast medium may be a broadcast news studio that creates audio and/or

video news segments. The audio and/or video news segments may be digitized before or after transmission to the broadcast facility 150

The broadcast control center 150 processes the incoming digital

- 5 information stream from data origination source 110 by adding addressing information, stream descriptor information, and error correction coding. Other stream processing operations may be performed such as encryption of the information streams. The broadcast control center 150 then multiplexes the received digital information stream from data origination source 110 with digital
- 10 information streams from other sources such as data origination source 113 and data origination source 115.

After processing the individual digital information streams and multiplexing the individual digital information streams into a single broadcast

- 15 stream, the broadcast facility 150 then modulates the multiplexed digital information stream onto a digital broadcast signal. The broadcast control center 150 then transmits the digital broadcast signal on a broadcast distribution medium. In the particular embodiment illustrated in **Figure 1**, a terrestrial wireless broadcast system is used to broadcast the digital broadcast signal to a
- 20 large number of receiving sites. However, it should be noted that many types of broadcast media can be used such as digital broadcast television signals, cable television signals, radio frequency broadcasts, direct video broadcast satellite signals, or any other suitable broadcast medium.

At each data broadcast receiver site is a data broadcast receiver system such as receiver 181 constructed to receive the digital broadcast signal. The receiver system 181 demodulates the digital broadcast signal to retrieve the multiplexed digital information stream. The receiver system 181 then examines 5 the address portion of data packets and/or stream descriptor portions of each digital information stream to determine if the receiver system 181 is interested in a particular digital information stream. The receiver system 181 may be interested in a particular digital information stream if that digital information stream matches a set of preprogrammed interest parameters as defined by the 10 receiver systems owner. The receiver system 181 may cache the matching digital information streams and/or directly output the matching digital information streams to one or more local client systems at the receiver system's site.

In one embodiment, the receiver system may distribute the output 15 digital information streams in multicast Internet Protocol (IP) form on a local area network (LAN) if requested by any multicast subscribers on the LAN. For example, receiver system 184 receives and decodes the multiplexed broadcast signal. The receiver system 184 then rebroadcasts a subset of the received data onto an internal local area network (LAN) 130 such that the information stream 20 is made available to client systems 131, 132, and 133. Furthermore, the receiver system 184 may cache the received digital information internally such that the information may be retrieved by the client systems at a later time.

### Terrestrial Digital Television Data Broadcast Distribution

One broadcast medium that may be used to broadcast digital information is the terrestrial digital television infrastructure that is currently being built out. In a terrestrial digital television based transmission system, the 5 processed multiplexed information stream is broadcast on a digital television transmission system using the MPEG-2 transport protocol.

The cost of building and maintaining a terrestrial digital television transmission system is very high. It would therefore be very expensive to build a 10 terrestrial digital television transmission system just for data broadcasting.

Instead, it would be desirable to share terrestrial digital television broadcast transmission capability with a terrestrial digital television broadcaster. Thus, the present invention introduces an embodiment that uses extra broadcast capacity on terrestrial digital television transmission systems to provide an inexpensive 15 data broadcast transmission system. In a preferred embodiment, extra digital broadcast transmission capacity from more than one digital television broadcaster may be used to provide broadband data broadcasting.

**Figure 2a** illustrates a data broadcasting embodiment wherein the 20 data broadcast control center 150 delivers MPEG-2 transport protocol encoded information streams to one or more terrestrial digital television broadcast centers 251, 252, and 253. The terrestrial digital television broadcast centers (251, 252, and 253) merge the received MPEG-2 transport protocol encoded information streams into their ATSC (Advanced Television Standards Committee) digital 25 television signals. The terrestrial digital television broadcast centers 251, 252,

and 253 then broadcast the merged signal to all the receiver systems through their digital television transmission systems 261, 262, and 263. The receiver systems (181, 182, 183 . . .) receive the ATSC digital television signals and extract the desired digital information streams from the MPEG-2 transport stream. In 5 such a multi-broadcaster embodiment, each receiver system (181, 182, 183 . . .) should have more than one receiver circuit such that multiple digital television broadcast centers (251, 252, and 253) can be monitored simultaneously.

**Figure 2** illustrates a block diagram of the embodiment in **Figure 1**.

10 As illustrated in the block diagram of **Figure 2**, a multiplexing unit 257 must multiplex all of the processed digital information streams into a number of outgoing digital information streams equal to the number of broadcast stations being used. For example, in the example embodiment of **Figures 2a** and **2b**, the multiplexing unit 557 must distribute the outgoing digital information stream to 15 the three digital television broadcast stations 251, 252, and 253.

The individual digital television broadcast stations 251, 252, and 253 multiplex the data broadcast information in with their local digital television signal. The individual digital television broadcast stations 251, 252, and 253 may 20 also multiplex in additional locally generated data broadcast content. For example, digital television broadcast stations 251 may create additional data broadcast formatted content with local advertisers and local news teams. These local content digital information streams provide additional data broadcast content to the data broadcast digital information streams. All of the receiver

systems (181, 182, 183 . . .) will receive the data broadcast signals from all the participating television broadcaster stations (251, 252, and 253).

Additional detailed information on a data broadcasting

- 5 infrastructure that can use the teachings of the present invention is available in the co-pending patent application entitled "Method and Apparatus for Broadcasting Data With Access Control" having Serial Number \_\_\_\_\_, filed concurrently with this patent application and hereby incorporated by reference.

10

## Data Broadcast Receiver Systems

15

The receiver systems of the present invention receive data broadcast information and present that information to users of the receive system. In one embodiment, the data broadcast receiver systems receive the data broadcast streams and present that information to other client systems that display the received digital information. Such an embodiment is referred to as a wireless multimedia receiver/server device since multimedia information is received over a wireless medium and served to other client systems.

20

Figure 3a illustrates a first example usage of a wireless multimedia receiver/server device. In the embodiment of Figure 3a, a personal computer system 310 is coupled directly to a wireless multimedia receiver/server device 330. A number of different interface ports on computer system 310 may be used for such a connection. For example, a parallel data port, a Small Computer System Interface (SCSI), an Ethernet interface (using a cross-over cable), a

FireWire™ Bus (IEEE.1394), or a Universal Serial Bus (USB) interface may be used to couple computer system 310 to wireless multimedia receiver/server device 330. It is desirable to select the available computer interface having the highest data rate in order to efficiently deliver rich multimedia content from the

5 multimedia receiver/server device 330 to the computer system 310.

The wireless multimedia receiver/server device is not limited to usage by personal computer systems. **Figure 3b** illustrates an example usage wherein a television set-top box 320 is coupled to a wireless multimedia

10 receiver/server device 330. The television set-top box 320 may operate using standard Internet data communication protocols such as HyperText Transport Protocol (HTTP).

The wireless multimedia receiver/server device 330 may be used

15 alone as illustrated in **Figures 3a and 3b** or in conjunction with a wired network connection. **Figures 3c and 3d** illustrate an application of a wireless multimedia receiver/server device 330 wherein the wireless multimedia receiver/server device 330 acts as an intermediary for an Internet connection. In such an embodiment, the wireless multimedia receiver/server device 330 may act as a  
20 proxy server for the Internet. In such an arrangement, the wireless multimedia receiver/server device 330 may provide many additional services such as local domain name service (DNS), web page caching, and email service. In a web page caching wireless multimedia receiver/server device 330, the broadcast control center may broadcast versions of very popular web pages to the wireless

multimedia receiver/server devices such that very popular web pages are immediately available.

The receiver systems can be used to provide service to multiple 5 client systems at a receiver site. **Figure 4** illustrates a wireless multimedia receiver/server device 480 is coupled to a local area network (LAN) 450. The local area network (LAN) 450 may be constructed using standard Ethernet technology, Token Ring technology, homerun™ home LAN technology, or any other existing or newly created computer network technology. In a computer 10 network based embodiment, the wireless multimedia receiver/server device 480 may serve several client systems coupled to the local area network (LAN) 450. For example, in **Figure 4** the multimedia receiver/server device 480 may serve multimedia information to client computer systems 462, 464, 466, and 468.

15

### **Data Broadcast Receiver/Server Hardware**

**Figure 5** illustrates one embodiment of a multimedia receiver/server device 500. The multimedia receiver/server device 500 receives 20 an encoded data stream through a receiver front-end receiver system 510. The receiver front-end system 510 includes a signal reception system 505 for receiving a digital broadcast signal and accompanying receiver circuitry for demodulating digital broadcast signal received on the signal reception system 505. The signal reception system 505 may be a Ku band satellite antenna, a 25 terrestrial broadcast digital television antenna, a connection to a cable television

based digital signal distribution system, or any other appropriate system for receiving broadcast signals.

A Digital Video Broadcast Satellite Based Receiver Front-End

5                   The multimedia receiver/server device 500 of **Figure 5** illustrates a satellite based digital video broadcast based receiver front-end system 510. In the digital video Broadcast based receiver front-end system 510, the signal reception system 505 may comprises a Ku Band satellite antenna system.

10                  The Ku Band satellite signal reception system 505 includes a low-noise block converter (LNB) 507 that delivers a frequency converted signal an appropriate satellite band receiver circuitry 511. The satellite receiver circuitry 511 filters and amplifies the frequency range of the desired satellite signal. The satellite receiver circuitry 511 multiplies the filtered signal using a local oscillator  
15                  to recover an in-phase (I) and quadrature (Q) version of the broadcast signal.

                        The satellite receiver circuitry 511 passes the in-phase (I) and quadrature (Q) signals to an analog to digital (A/D) converter 515. The analog to digital (A/D) converter 515 digitizes the in-phase (I) and quadrature (Q)  
20                  signals and passes the digitized signal information to transport decoder circuitry  
517.

                        The transport decoder circuitry 517 recovers the transmitted digital bit stream from the digitized in-phase (I) and quadrature (Q) signals. In one Ku  
25                  band direct video broadcast satellite embodiment, the transport decoder circuitry

517 may includes a demodulator integrated circuit such as the OTI-8511 integrated circuit from Oak Technology of Sunnyvale, California. In such a DVB satellite based system, the OTI-8511 integrated circuit demodulates the in-phase (I) and quadrature (Q) signals to recover an MPEG-2 transport stream. The 5 MPEG-2 transport stream is defined by the ISO standard defined in the document ISO/IEC 13818-1 titled "Information technology - Generic coding of moving pictures and associated audio information: Systems." Control circuitry in the transport decoder circuitry 517 may copy the MPEG-2 transport stream into an I/O memory system 520.

10

#### A terrestrial digital television based Receiver Front-End

Figure 6a illustrates a multimedia receiver/server device 600 that has a different receiver front-end receiver system. Specifically, Figure 6a illustrates a multimedia receiver/server device 600 with a terrestrial digital television based receiver front-end system 610. In particular, a terrestrial digital television based upon the American Television Standards Committee (ATSC) is illustrated. In the ATSC digital television based receiver front-end system 610, the signal reception system 605 comprises an antenna system suitable for receiving ATSC digital television signals.

20

The ATSC digital television signals are then processed by an ATSC digital television receiver circuit 611. As in the satellite embodiment of Figure 5, the receiver circuit 611 is used to recover an MPEG-2 transport stream. The MPEG-2 transport stream is then decoded by transport decoder circuitry 617. 25 Since the same transport coding is used as disclosed in the preceding receiver

system, the same MPEG-2 transport stream decoder may be used to decode the signal to retrieve the MPEG-2 transport stream. The output MPEG-2 transport stream may then be placed into the I/O memory system 520.

- 5 As noted in the embodiments of **Figure 5** and **Figure 6a**, multiple different types of receiver systems can be constructed that used different front-end receiver systems. In this manner, slightly modified receiver systems can be built for each target market in order to take advantage of the best broadcast medium for that market. Specifically, ATSC terrestrial digital television front-end receiver systems, direct video broadcast satellite front-end receiver systems, and digital cable television front-end receiver systems can be built for ATSC terrestrial digital television markets, direct video broadcast markets, and digital cable television markets, respectively. With those three different types receiver systems, the same MPEG-2 transport systems is always used such that the same
- 10 15 MPEG-2 transport signal can be used for all the different types of markets.

#### A Multiple Tuner Terrestrial Digital Television Receiver Front-End

- As illustrated in **Figure 2a**, it may be desirable to aggregate the extra broadcast bandwidth available from a number of digital television broadcasters within a particular terrestrial broadcast television market. With such an arrangement as depicted in **Figure 2a**, the receiver systems should be able to receive all of the broadcast data signals. **Figure 6b** illustrates a multimedia receiver/server device 600 that includes multiple instances of the receiver front-end receiver system. Specifically, **Figure 6b** illustrates a
- 20 25 multimedia receiver/server device 600 with multiple instances of the receiver

circuity 611, the Analog to Digital circuitry 615, and the transport decoder circuitry 617. In this manner, multiple data broadcasts from multiple digital television broadcasters (251, 252, and 253) can be simultaneously received. With such a multiple receiver configuration, the aggregated bandwidth may exceed

5 multiple megabytes per second.

#### Receiver System Digital Information Stream Processing

Referring back to Figure 5, once the multiplexed digital stream is copied into the I/O memory system 520, the processor 540 of the receiver/server

10 500 performs additional processing of the digital stream. The processor 540 is part of a typical computer system arrangement that includes memory (memory 570 and I/O memory 520), long term storage 550, input/output devices (590, 541, 543, etc.), and a central processing unit (processor 540).

15 The processor 540 operates under the control of programs in the main memory 570. To share the computing resources of the digital receiver system 500, the processor 540 executes an operating system 571. The operating system 571 provides standard operating system features such as input/output abstraction, multitask scheduling, and memory management. The operating

20 system allows the application software to easily access and use the resources of the computer system. In one embodiment of the present invention, the operation system 571 comprises a version of the Berkeley Standard Distribution (BSD) of the UNIX operating system. However, other operation systems can be used.

25

## Data Broadcast Receiver/Server Hardware

As illustrated in **Figure 5**, the multimedia receiver/server 500 may execute several application programs concurrently under the control of an operating system 571 to provide data broadcasting services to a user. The software of one embodiment of a multimedia receiver/server system will be disclosed with reference to **Figures 5 and 7**. However, many different multimedia receiver/server software architectures may be created.

Referring to **Figure 7**, a conceptual software architecture diagram of a multimedia receiver/server 700 is illustrated. The software architecture has been designed to process data broadcast information received through a receiver system 701 and present that data broadcast information to a user at a client system 799. A first step that is performed is parsing of the incoming digital information stream.

### Packet Extraction and Processing

Referring the conceptual diagram of **Figure 7**, a packet extraction and processing system 710 examines the multiplexed digital information stream received by the receiver system 701. The packet extraction and processing system 710 extracts network packets that may be of interest to the multimedia receiver/server system 700. Specifically, the packet processing system 710 identifies and extracts packets that are specifically addressed to the multimedia receiver/server system 700 and packets that have specific defined characteristics.

In a preferred embodiment, the data packets are Internet Protocol (IP) packets.

Referring back to **Figure 5**, the packet processing is performed by one or more decoding, filtering, and decrypting applications 572. In one embodiment, these applications extract IP packets from MPEG-2 transport frames. The IP packet extraction may include such steps as forward error correction, decryption, and packet reassembly. Normally, not all of the packets in the multiplexed digital information stream will be extracted since many packets may be encrypted in a manner that cannot be decrypted by this unit, addressed to other entities, or otherwise inappropriate.

10

#### Packet Routing

Referring again to **Figure 7**, the extracted packets are passed to a packet routing system 730. The packet routing system 730 routes the received packets appropriate destinations. For example, certain system update packets may be addressed for delivery to a receiver/server update application 765. The receiver/server update application 765 may thereby receive information that allows automatic software updates to be performed on the multimedia receiver/server system 700. Packets may also be routed to a management application 741 that manages the operation of the multimedia receiver/server system 700. For example, encryption keys that may be used to decrypt certain digital information streams may be delivered to the management application 741 such that the management application 741 may receive encryption keys and other control parameters.

The packet routing system 730 may route other data packets to one or more client systems coupled to the multimedia receiver/server system 700 through computer interface 790. Thus, it can be seen from **Figure 7** that the multimedia receiver/server system 700 may forward data packets received from

5 the data broadcast signal directly to client systems coupled to the multimedia receiver/server system 700. In such operations, the multimedia receiver/server system 700 acts as a packet router to deliver packets broadcast over a broadcast medium. One very useful application for this particular feature is using the multimedia receiver/server system 700 as a multicast router that can deliver

10 multicast packets to any client or network coupled to the multimedia receiver/server system 700. For example, a stock ticker stream may be broadcast as a live multicast IP stream such that a stock trading application on client system 799 may directly receive and use real-time stock trading information.

15 Referring to **Figure 5**, the packet routing may be performed by a packet routing application 577. The routing application uses the services provided by the operating system 571 and device drivers 578 to deliver packets to the proper destinations.

20 Information Caching

As seen in the preceding section, the multimedia receiver/server system 700 can be used to immediately route packets received from the data broadcast signal. However, the multimedia receiver/server system 700 can also be used to provide very useful data services by locally caching information.

25 Referring to **Figure 7**, one or more caching applications 745 (data caching

application 573 in **Figure 5**) receives data packets from the packet routing system 730 and caches information from those packets into a file system 750.

In one embodiment, the caching application 745 may request to

- 5 receive packets addressed to one or more designated multicast addresses that carries multimedia information and accompanying descriptors. The caching application 745 selectively captures multimedia information and stores that multimedia content information 753 in a file system 750. The caching application 745 may create multimedia information directory 755 such that the cached
- 10 multimedia information may be quickly searched and accessed. The multimedia information may consist of anything that can be expressed in digital form including audio, video, text, web pages, and computer programs.

In one embodiment, each multimedia information stream is

- 15 preceded by a multimedia descriptor. The multimedia descriptor describes the details of the upcoming multimedia stream. The caching application 745 can use the multimedia descriptor to determine if the upcoming multimedia stream should be cached or not. For example, in one embodiment the user defines a set of categories that the user finds interesting. These categories are stored in users
- 20 preferences file 757. Then, the caching application 745 uses the multimedia descriptor information in conjunction with the set of user preferences 757 to select multimedia streams that contain news or information related to the defined set of user interests. The caching application 745 can use the multimedia descriptor to help build the multimedia directory 755.

The following list provides some of the information that may be provided in a multimedia descriptor:

Title: A title for the multimedia stream

5 Full Headline: A news headline that can be used to describe the multimedia stream in a web page.

Origination date/time: The time the stream was broadcast.

10 Suggested expiration: A suggested expiration time for the stream. A multimedia receiver/server may use the expiration time to determine when the stream should be deleted from the multimedia content cache 753.

Source: A source of the multimedia stream (Reuters, CNN, Associated Press, United Press International, etc.)

Multimedia Stream Format: Information that describes the protocol and format of the multimedia information stream.

15 Accompanying multimedia stream(s): Other related multimedia streams.

SDP descriptor: A session description protocol (SDP) as defined by the IETF RFC 2327 for the multimedia stream.

20 Importance: A relative importance value assigned to the multimedia stream. The Importance value may be used when created user displays such that highly important stories are listed first.

25 Categorization code: A detailed categorization value that defines the subject matter of the multimedia stream. The categorization code may be hierarchical. For example, a multimedia video clip about the Minnesota Vikings winning the Superbowl may be categorized as Sports/NFL/MN\_Vikings

Ticker Symbols: If the multimedia story is related to one or more publicly traded corporations, the ticker symbols of those corporations should be listed here. This field can be used to identify stories about a public corporation when performing investment research.

30 Searching keywords: A set of keywords that are associated with the multimedia stream. The searching keywords can be used to locate relevant multimedia streams when searching the multimedia content.

News Item HTML: A preformatted web page that can be used to introduce or accompany a multimedia stream.

35 News Item Text: Text that describes the multimedia stream.

As can be seen from the multimedia descriptor description, the caching application 745 is provided with a large number of fields that can be used to select interesting multimedia streams. Additional fields may be used to provide additional information about the multimedia streams.

5

The caching application 745 may also handle cache clean up. Specifically, old and outdated information should be removed from the file system 750 to conserve resources. The caching application 745 may use a number of different methods of selecting information to remove. One simple 10 method of performing cache clean up is to remove cached items after their suggested expiration time has elapsed.

### Information Serving

The multimedia receiver/server system 700 may present the cached 15 multimedia information in a number of different ways. However, one of the most popular current methods of presenting information is in the form of World Wide Web (WWW) pages formatted in HyperText Markup Language (HTML) or eXtensible Markup Language (XML). One embodiment of the multimedia receiver/server system 700 uses a web page constructing application 760 to 20 create WWW pages 759 that may be presented to client systems. (The web page constructing application is listed as web page building applications 576 in Figure 5.)

The web page constructing application 760 may continually 25 examine the contents of the multimedia directory 755 and the multimedia

content 753 to locate information to be incorporated into web pages. In one embodiment, the caching application 745 directly informs the web page constructing application 760 about the multimedia streams that will be cached. In this manner, the web page constructing application 760 can incorporate the 5 newly cached information into the web pages. Furthermore, the web page constructing application 760 can incorporate “live” information that is currently being received by referring to a multicast stream that contains the live stream. Similarly, the caching application 745 should inform the web page constructing application 760 about multimedia information being removed from the file 10 system 750 such that the web page constructing application 760 can remove references to deleted information.

The web page constructing application 760 should create web pages in accordance with the user’s particular preferences. Specifically, the web 15 page constructing application 760 refers to the user preferences file 757 to create a custom multimedia enhanced web page that specifically contains information according to the user’s preferences. In a multiple client environment, the web page constructing application 760 may create a different customized web page for each user that uses the multimedia receiver/server system 700.

20 A web server application 781 serves the created web pages 759 to client systems that request the web pages 759. (The web server application is listed as main server application 574 on Figure 5.) Due to the caching of rich multimedia information, the web server 781 may be aided by one or more file 25 streaming applications 782 and 783. The file streaming applications 782 and 783

stream rich multimedia information such as videos and audio that may be incorporated into the customized web pages 759. Furthermore, the web pages may incorporate “live” streaming information that is delivered straight from the packet routing system 730. In this manner, video from live events can be

5 incorporated directly into web pages.

Other methods of serving information besides using the World Wide Web protocols and formats may also be provided. For example, server 787 may provide raw file information to client systems the well-known Network File 10 System (NFS). Server 787 could also be a File Transport Protocol (FTP) server. Other server applications that implement other protocols can also be implemented.

## 15 **A Data Broadcast News Application**

To illustrate one type of service that may be provided by the data broadcast system of the present invention, an example of a data broadcast news application is disclosed. Referring to **Figure 7**, a user at a client system creates a 20 set of preferences that describe the user’s interests. The user preferences may be obtained by presenting a set of forms to the user with web server 781. The forms can be processed by Common Gateway Interface (CGI) scripts that store the user’s preferences into user preferences file 757. For example, a user may specify that he is interested in top news stories, sports information, financial 25 information, and science & technology information.

Using the user interests in the user preferences file 757, the data caching application 745 begins collecting multimedia streams and multimedia descriptors broadcast over the data broadcast system. The caching application

5 745 creates a multimedia directory 755 using multimedia descriptor information.

The caching application 745 may directly inform the web page constructing application 760 about the information streams that are being cached.

The web page constructing application 760 then uses the user

10 preference information 757 along with multimedia descriptor information from the caching application 745 or from the multimedia directory 755 to create a customized multimedia enhanced web page for the user. **Figure 8** illustrates one possible example of a customized new web page created for the example user that is interested in top news stories, sports information, financial information, 15 and science & technology information.

As depicted in **Figure 8**, the top news stories related to the user's interests are displayed in headline form. Furthermore, a multimedia video clip stream related to the most important news story within the user's interests is

20 playing within a window of the web page display. The user can control the video clip using common VideoCassette Recorder (VCR) type controls such as play, stop, fast-forward, reverse, and mute. A video window as depicted in **Figure 8** may be implemented using a RealVideo viewer from RealNetworks of Seattle, Washington or a NetShow viewer from Microsoft Corporation of 25 Redmond, Washington. If the user is very interested in the story playing within

the selected video clip, the user can expand the video to fill the full screen of the client system. The user may also retrieve other information related to the displayed video clip.

5                   If the user is not interested in the video clip currently being displayed, the user can select one of the other headlines to bring up information on that story. The information may consist of a video clip, an audio clip, a web page, a text story, or any other digital information presentation.

10                  The news web pages of the present invention can be created in typical hierarchical organization that is familiar to most computer users. **Figure 9** illustrates a conceptual diagram of a set of hierarchical web pages that have been created using the user's preferences and the available multimedia information. Thus, referring back to **Figure 8**, if the user is not interested in the 15 video clip currently being displayed or any of the current headlines, the user can select one of the different headline categories. The web server will then present a web page with specific category information.

20                  In one embodiment, the user can select one of the headlines in a story to bring up a web page with additional stories in that category and detailed information about the selected story. For example, if the user selects the "NJ Devils trade Star Wing" headline the Sports news web page illustrated in **Figure 10** may be presented. As illustrated in **Figure 10**, a video clip of the hockey player trade is displayed. Furthermore, the web page displays sports related 25 news as a list of sports headlines. In the example of **Figure 10**, the sports

category sub-categories of NFL Headlines, NBA headlines, NHL headlines, and Other Sports Headlines are displayed.

## Searching

5 The multimedia receiver/server system 700 can be used to provide  
custom web pages created in response to a user's query. For example, Figure 10  
illustrates a search term input box wherein a user may enter one or more search  
terms. More detailed searching can be performed by selecting the "search"  
prompt. As illustrated in Figure 10, a user has requested a search to be  
10 performed using the term "Geocast." Referring back to Figure 7, the web page  
construction application 760 uses the entered search term to search the  
multimedia directory 755. Furthermore, the web page construction application  
760 may examine descriptor information for multimedia streams currently being  
received such that "live" content may be incorporated.

15 Using the located information that is related to the search terms,  
the web page construction application 760 dynamically creates a web page that  
may be presented to the user. **Figure 11** illustrates an example of a web page  
that may be created after searching the multimedia directory 755 with the search  
20 term “Geocast”. As illustrated in **Figure 11**, the dynamically generated web page  
contains a list of headlines from stories or multimedia streams related to the  
search term. The detailed information from most important story may be  
presented in a separate window as illustrated in **Figure 11**. In this example, the  
multimedia information is a short text story with an accompanying audio stream  
25 of an official company announcement. Furthermore, the web page construction

application 760 may include information from stories or multimedia information that may be related to the search terms.

In one embodiment wherein the multimedia receiver/server

5 system 700 is coupled to the Internet, the web page construction application 760 may also include information retrieved from the Internet. Thus, the multimedia receiver/server system 700 can be used to merge multimedia information received over the data broadcast network with information retrieved from the Internet into a single display.

10

### **A Data Broadcasting Service**

As disclosed, the present invention teaches methods and apparatus  
15 for implementing a broadband data broadcast system. The broadband data broadcast system allows rich multimedia information to be delivered to end-users that have narrowband or even no connection to the Internet. The broadband data broadcast system can be used to create a broadband data broadcast information service.

20

In one embodiment, the broadband data broadcast information service operates by selling multimedia receiver/server devices to consumers that desire service. The consumers connect their multimedia receiver/server devices to appropriate client systems such as personal computers, television set-top  
25 boxes, and home networks.

In one embodiment, a stand-alone multimedia receiver/display device may be sold such that users can use the service without having to have any other client system. Such an embodiment would be similar to the 5 embodiment of **Figure 5** except that the client interface circuitry 590 would be replaced by a display device that is capable of displaying information and the software would include client software for displaying information on the display device.

10 The broadband data broadcast service could be subscription based, advertiser supported, or a combination of paid subscription content and advertiser supported content. In a preferred embodiment, a significant amount of information is broadcast without a subscription requirement but is accompanied by advertising multimedia information that is displayed 15 concurrently or intermittently. For example, an advertisement window can be added to the screen displays of **Figures 8, 10, and 11**. Due to the broadband nature of the disclosed data broadcasting system, the advertisement window can include video and audio information. Thus, the broadband data broadcast system of the present invention permits advertisers to provide a rich multimedia 20 message to users.

The advertisements can be accompanied by additional detailed information and programs stored locally on the file system 750. Thus, when a user is interested in a particular advertisement, the user can obtain that 25 additional information or run programs associated with the advertisement. For

example, an advertisement for a catalog based clothing merchant can be linked to a full version of the merchant's clothing catalog stored locally on the multimedia receiver/server device 700. Advertisers that desire to have large commercial documents such as product catalogs stored within the cache of the multimedia

5 receiver/server systems could be charged a fee for such a privilege. The fee may be related to the amount of time that the commercial documents will be cached in the multimedia receiver/server device 700.

The user can browse the locally stored clothing catalog and select

10 items for purchase. If the user has an Internet connection, the user can fill out an order form to order an item from the catalog. The broadband data broadcast capability of the present invention allows the catalog to include far more detailed information than normally presented on an Internet web page. Furthermore, the caching capability of the system allows all the catalog information to be stored  
15 locally for immediate and instantaneous access. Thus, it can be seen that the data broadcast service of the present invention provides the rich multimedia experience of radio and television along with the interactive browsing features of the Internet.

20 As depicted in **Figures 3a and 3b**, not all users of the multimedia receiver/server system of the present invention will have an Internet "back channel." Thus, a user without an Internet connection that browses a product catalog contained within the multimedia receiver/server system cannot initiate an Internet based ecommerce transaction. For such users, **Figure 5** illustrates one  
25 embodiment of the present invention that includes wireless network circuitry 543

for providing a wireless back channel. For example, a contract may be made with a cellular telephone provider to obtain access to the Short Messaging Service (SMS) portion of their cellular telephone network. The wireless network circuitry 543 may provide significant bandwidth to enable complex transactions 5 such as online gaming, however the wireless network circuitry 543 need only provide a narrowband connection for ecommerce applications such as purchase orders.

In addition to advertiser supported content, a number of 10 subscription fee based premium services can be offered using the directed broadcast system of the present invention. For example, a special financial information subscription package may be offered that contains real-time stock quotes and in-depth financial news. Such subscription fee based premium data broadcast services would be protected by encrypting the digital information 15 streams containing the premium content. To prevent piracy, the encryption system can be aided with the use of tamper-proof encryption circuitry 545 as depicted in **Figure 5**. The tamper-proof encryption circuitry 545 contains private keys that cannot be accessed. Attempts to access the secret encryption keys within the encryption circuitry 545 will destroy the encryption circuitry 545. In 20 one embodiment, the secure encryption module 870 comprises an iButton from Dallas Semiconductor of Dallas, Texas.

The foregoing has described a directed data broadcast system. It is contemplated that changes and modifications may be made by one of ordinary

skill in the art, to the materials and arrangements of elements of the present invention without departing from the scope of the invention.

## CLAIMS

We claim:

1                   1. A multimedia receiver apparatus, said apparatus  
2 comprising:  
3                   a wireless receiver circuit, said wireless receiver demodulating a wireless  
4                   encoded digital multimedia signal having a plurality of multimedia  
5                   streams;  
6                   a processor, said processor selecting and extracting a subset of multimedia  
7                   streams of said plurality of multimedia streams;  
8                   a long term storage device, said processor caching a subset of information  
9                   from said subset of multimedia streams into said long term storage  
10                  device; and  
11                  a computer network interface, said computer network interface for  
12                  selectively outputting information from said subset of multimedia  
13                  streams.

1                   2. The apparatus of claim 1 wherein said wireless encoded  
2                   digital multimedia signal comprises a satellite broadcast signal.

1                   3. The apparatus of claim 1 wherein said wireless encoded  
2                   digital multimedia signal comprises a terrestrial digital television broadcast  
3                   signal.

1                   4.       The apparatus of claim 1 wherein each multimedia stream  
2   comprises Internet Protocol packets.

1                   5.       The method of claim 1 wherein said wireless encoded digital  
2   multimedia signal comprises MPEG-2 transport protocol.

1                   6.       The apparatus of claim 5 wherein each multimedia stream  
2   comprises Internet Protocol packets encapsulated within said MPEG-2 transport  
3   protocol.

1                   7.       The apparatus of claim 1 wherein said wireless receiver  
2   circuit comprises an ATSC digital television receiver circuit.

1                   8.       The apparatus of claim 1 wherein said wireless receiver  
2   circuit comprises an ATSC digital television receiver circuit.

1                   9. The apparatus of claim 1 wherein said wireless receiver  
2 circuit comprises a direct video broad satellite receiver circuit.

1                   10. The apparatus of claim 1 wherein said wireless receiver  
2 circuit comprises a digital cable television receiver circuit.

1                   11. The apparatus of claim 1 wherein said processor outputs  
2 information from said subset of multimedia streams in a World Wide Web  
3 format.

1                   12. A method of generating revenue, said method comprising:  
2 distributing a plurality of data broadcast receiver systems, said data  
3 broadcast receiver systems comprising  
4 receiver circuitry for demodulating and decoding an encoded  
5 digital information signal,  
6 cache for storing multimedia information from said encoded digital  
7 information signal, and  
8 interface circuitry for coupling a receiver system to a client system  
9 to output said multimedia information to said client system ;  
10 receiving a broadcast and retention fee from an entity desiring to  
11 distribute designated digital information; and

12 broadcasting designated digital information to said data broadcast  
13 receiver systems; and  
14 caching said designated digital information in said data broadcast receiver  
15 systems such that client systems coupled to said data broadcast  
16 receiver systems may retrieve said designated digital information.

1 13. The method of claim 12 wherein said entity pays a fee  
2 related to an amount of time that said designated digital information will be  
3 cached in said broadcast receiver systems.

1 14. The method of claim 12 wherein said entity pays a fee  
2 related to an amount of said designated digital information.

1 15. A method of distributing data, said method comprising:  
2 broadcasting a plurality of popular multimedia streams across a broadcast  
3 medium;  
4 receiving said plurality of popular multimedia streams in data broadcast  
5 receiver system;  
6 caching a subset of said popular multimedia streams in a cache in said  
7 data broadcast receiver system;  
8 coupling said data broadcast receiver system to a wired network;

9 receiving data information from said wired network into said data  
10 broadcast receiver system; and  
11 presenting a unified data service to a client computer system coupled to  
12 said data broadcast receiver system that comprises said subset of said  
13 popular multimedia streams cached on said receiver system and said  
14 data information retrieved from said wired network.

1 16. The method of distributing data as claimed in claim 15  
2 wherein said wired network comprises the Internet.

1 17. The method of distributing data as claimed in claim 15  
2 wherein said data information from said wired network comprises information  
3 from an Internet portal site.

1 18. The method of distributing data as claimed in claim 15  
2 further comprising:  
3 receiving in data broadcast receiver system a query from said client  
4 system;  
5 searching said cache for matching multimedia streams that match said  
6 query;  
7 searching a server system coupled to said wired network for matching  
8 data information that matches said query; and

9 presenting a query response from said data broadcast receiver system to  
10 said client system that comprises said matching multimedia streams  
11 and matching data information.

1 19. The method of claim 15 further comprising:  
2 encrypting each digital information stream.

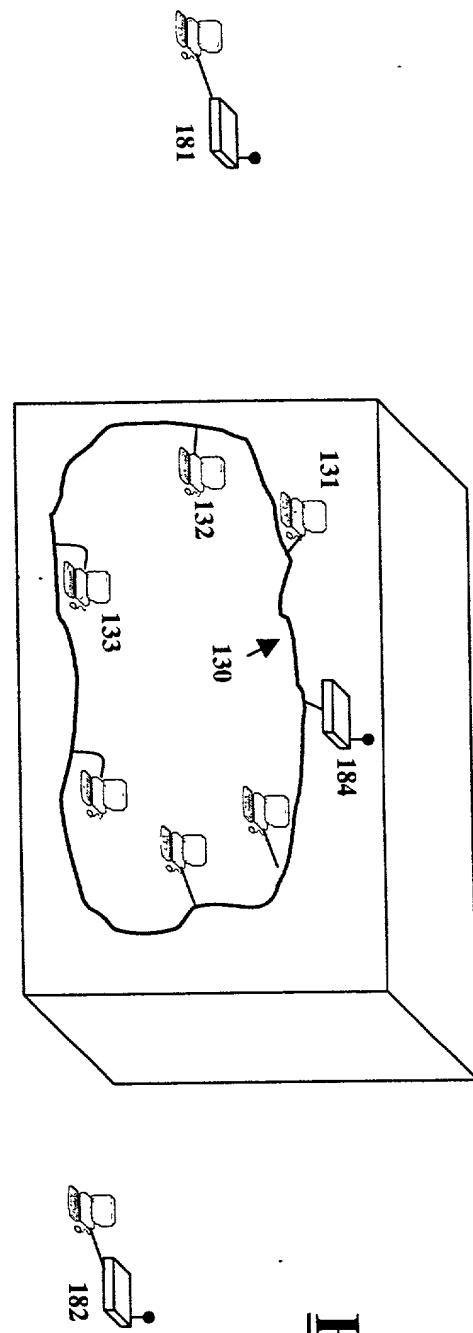
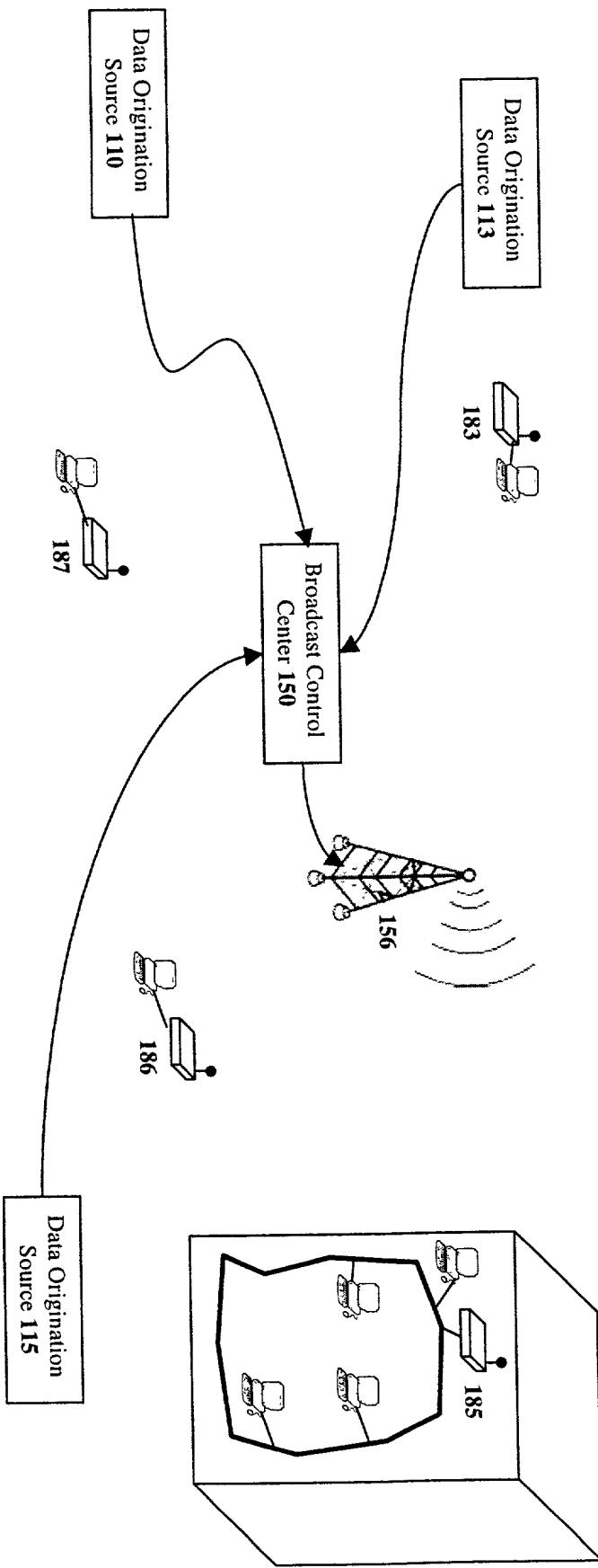
1 20. A data broadcast system, said data broadcast system  
2 comprising:  
3 An MPEG-2 transport stream based data broadcast control center, said  
4 MPEG-2 transport stream based data broadcast control center creating  
5 a MPEG-2 transport stream based data broadcast stream;  
6 Digital cable television based data broadcast receiver for receiving said  
7 MPEG-2 transport stream in digital cable television markets; and  
8 An ATSC terrestrial digital television broadcast based data broadcast  
9 receiver for receiving said MPEG-2 transport stream in ATSC  
10 terrestrial digital television broadcast markets.

1                   21. The data broadcast system of distributing data as claimed in  
2 claim 20 further comprising:  
3                   a direct video broadcast satellite based data broadcast receiver for  
4 receiving said MPEG-2 transport stream in direct video broadcast  
5 satellite markets.

## ABSTRACT OF THE DISCLOSURE

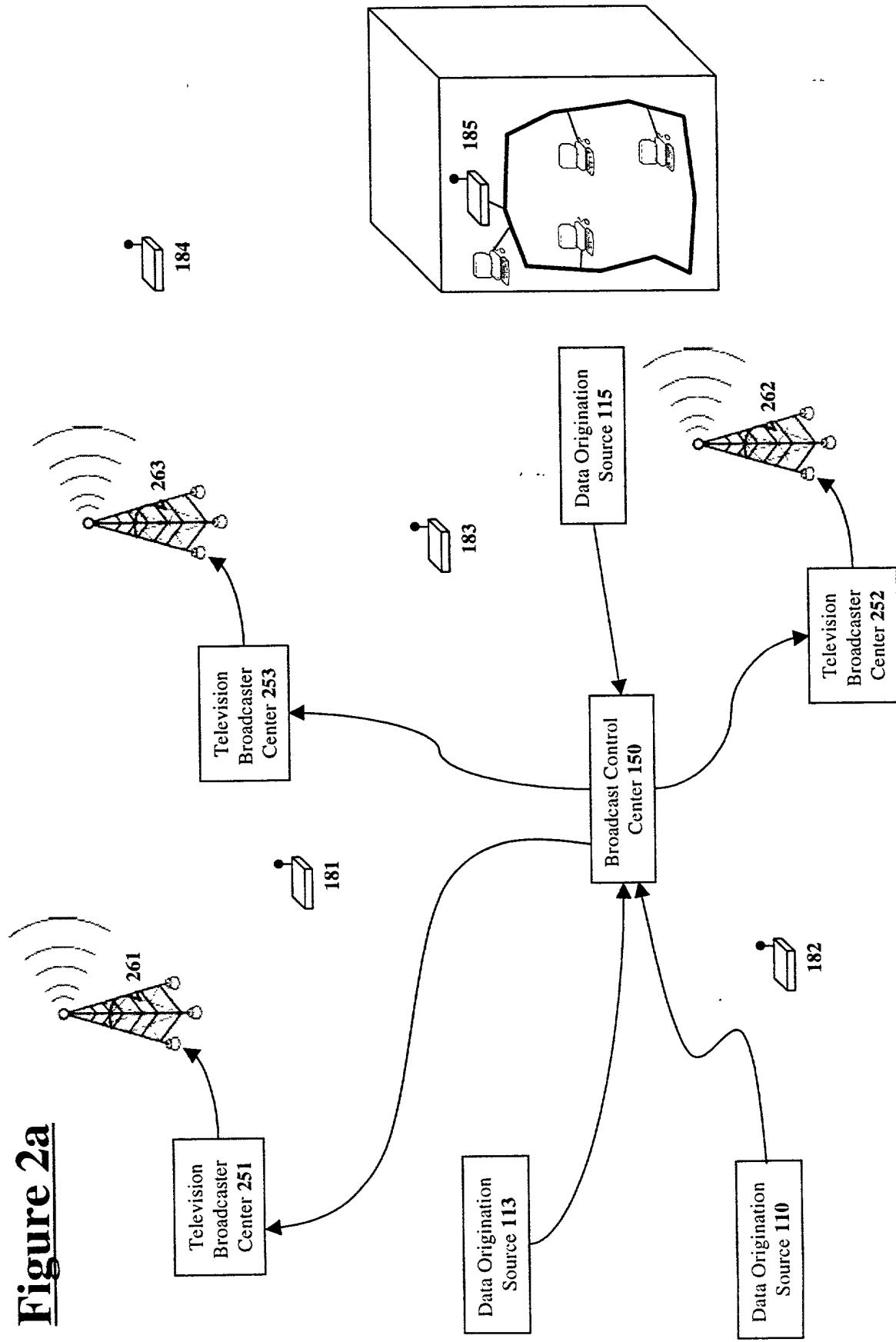
A broadband data broadcast system that allows rich multimedia content to be delivered to a plurality of subscribers is disclosed. The broadband

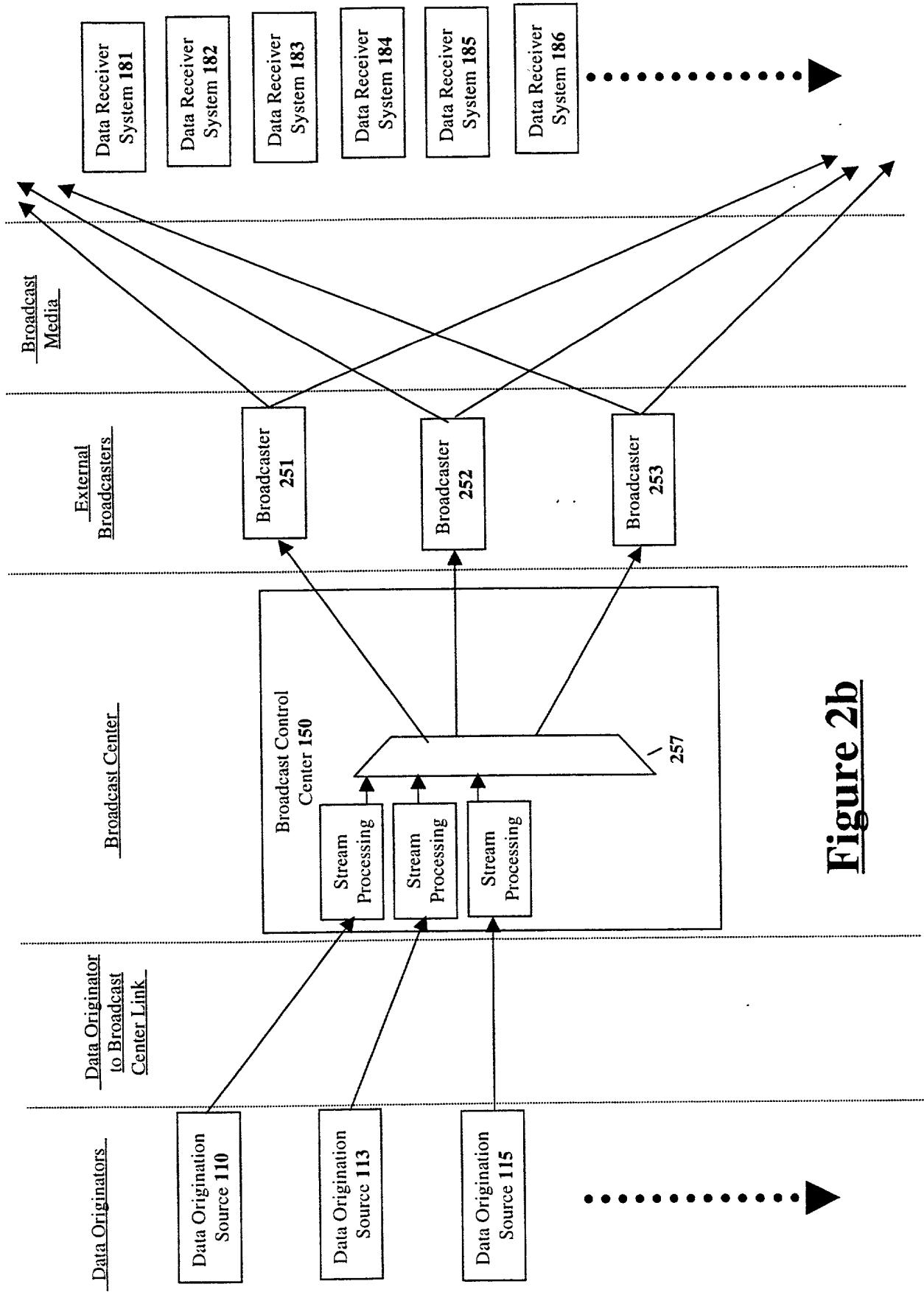
- 5 data broadcast system operates by multiplexing a plurality of rich multimedia digital information streams together at a centralized data broadcast center. The data broadcast center then broadcasts the multiplexed digital information stream on a broadcast medium such as satellite broadcasts, radio frequency broadcasts, or digital television broadcasts. A large number of receiver systems receive the
- 10 broadcast signal and demodulate the broadcast signal to retrieve the multiplexed digital stream. The receiver system extracts a subset of digital information streams that the particular receiver system's owner has designated are of interest. The receiver system caches the interesting digital information stream for later access. The receiver system outputs the interesting digital information
- 15 streams to a client system upon demand. The receiver system may also stream an incoming digital information stream to a client as the stream is received.



**Figure 1**

**Figure 2a**





**Figure 2b**

Figure 3a

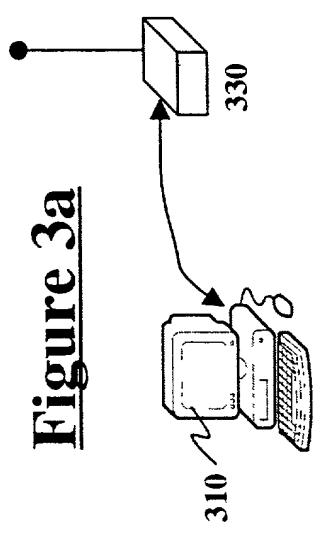


Figure 3c

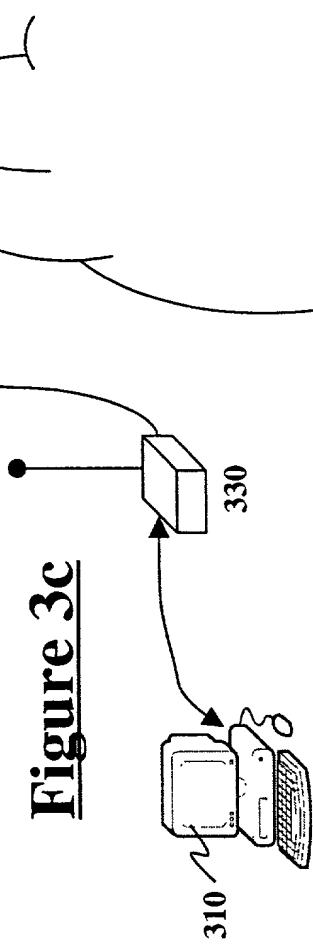


Figure 3b

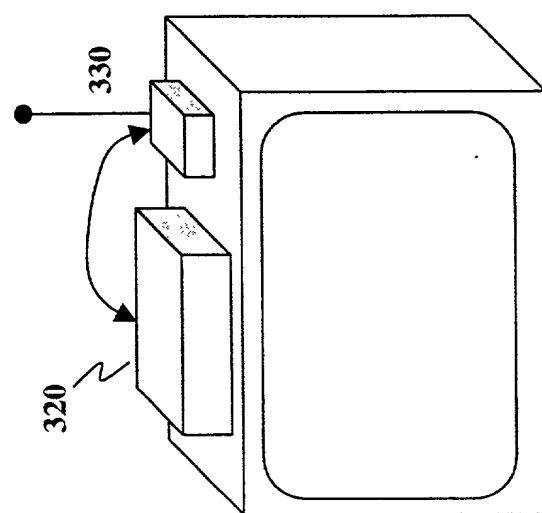


Figure 3d

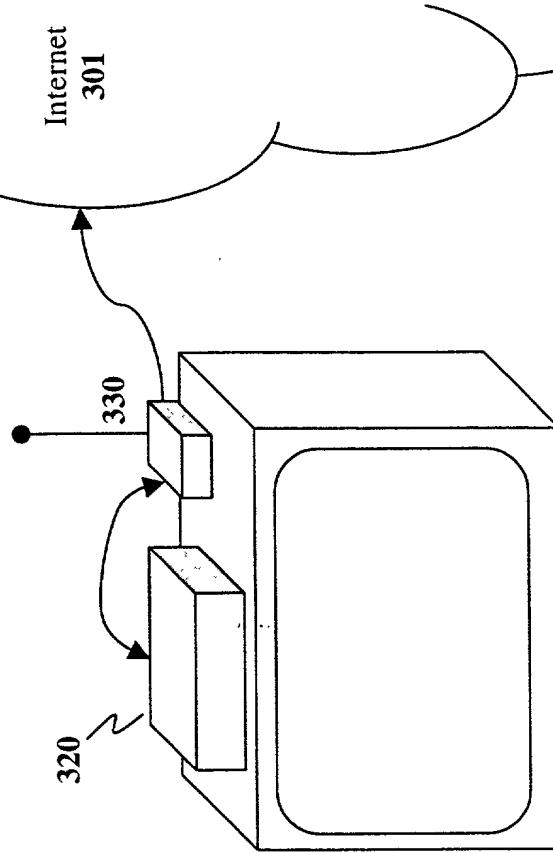
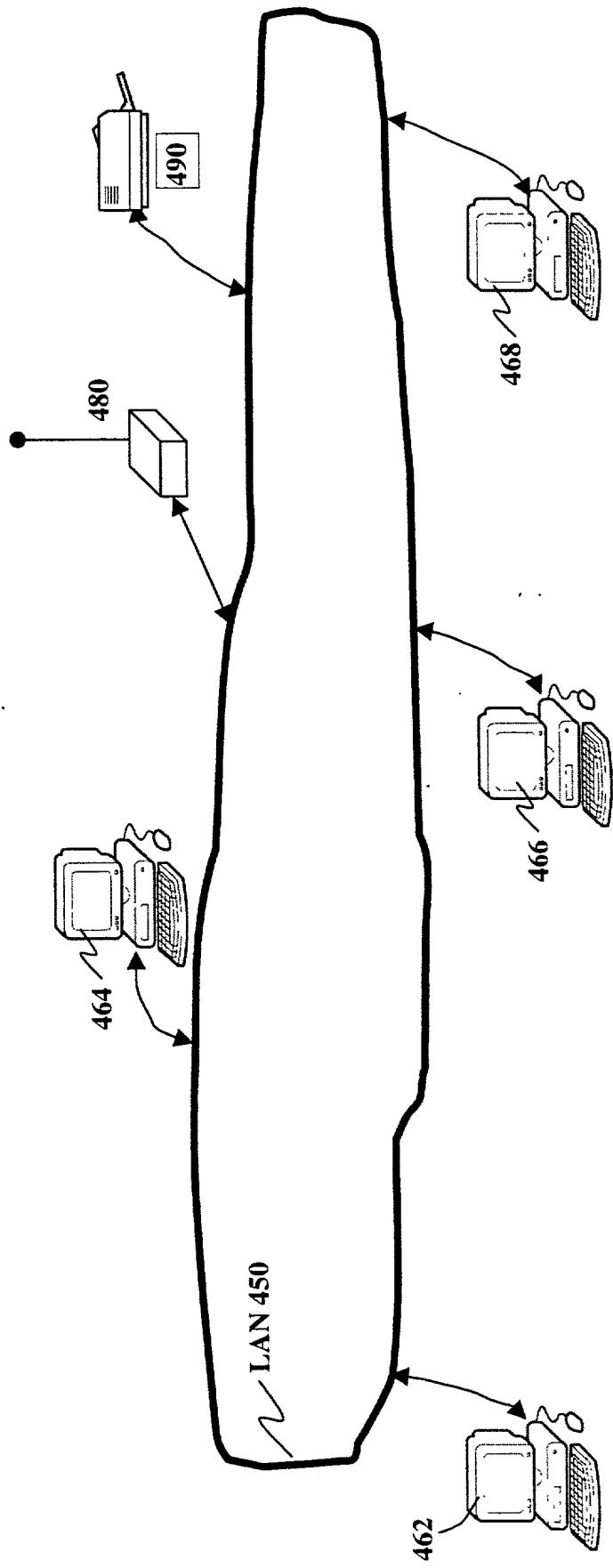
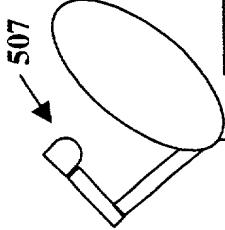


Figure 4



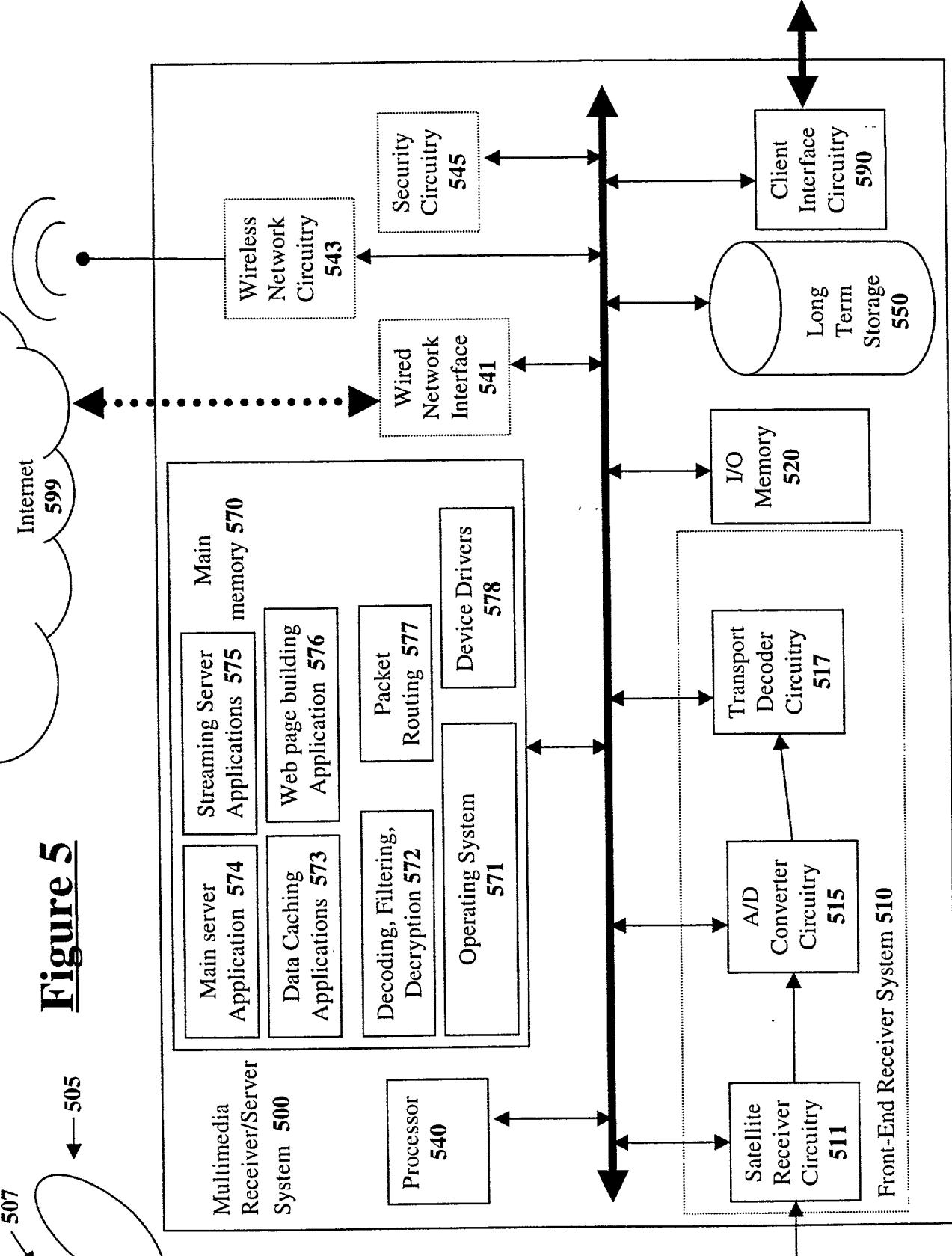
505



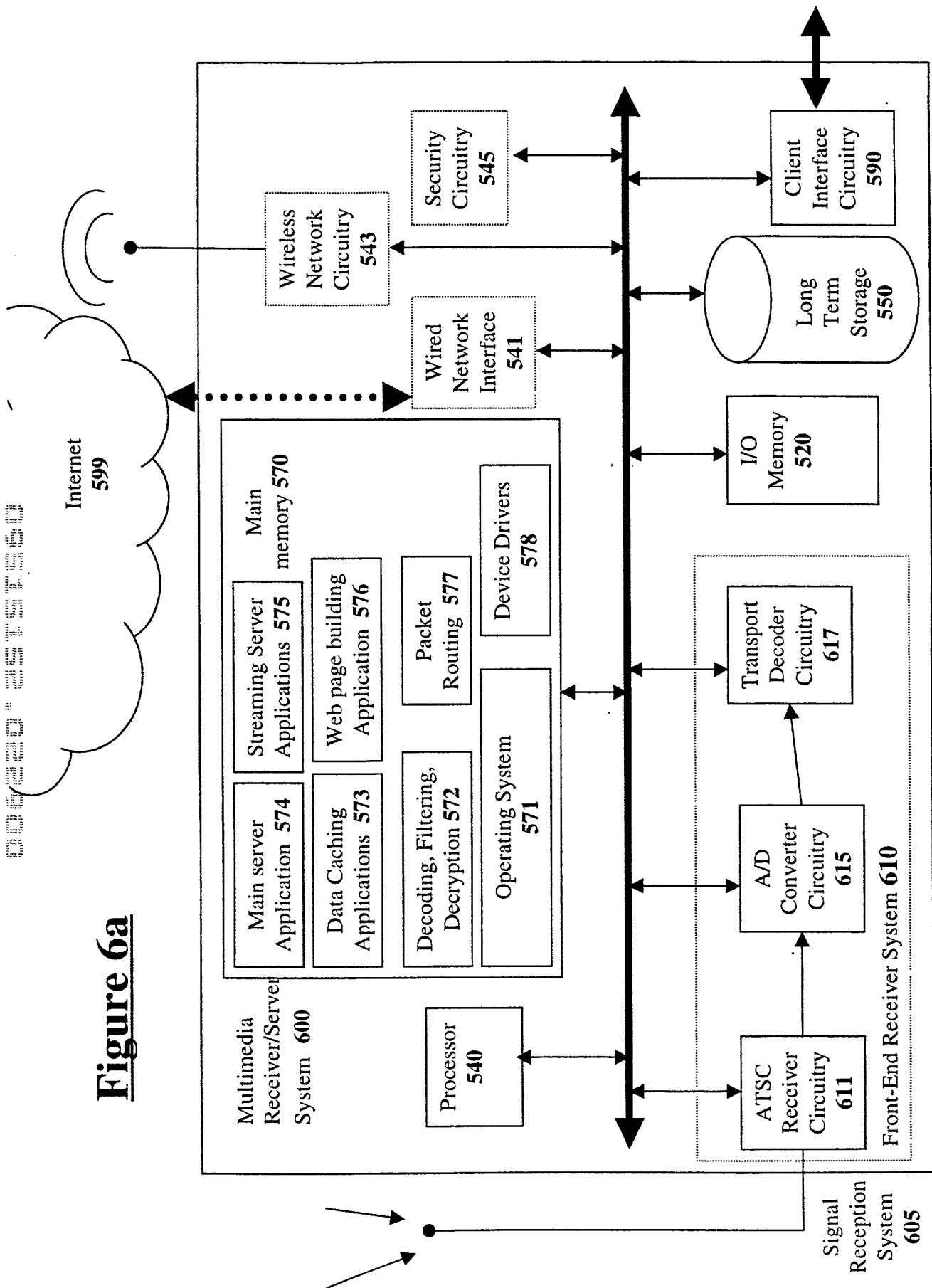
**Figure 5**

507

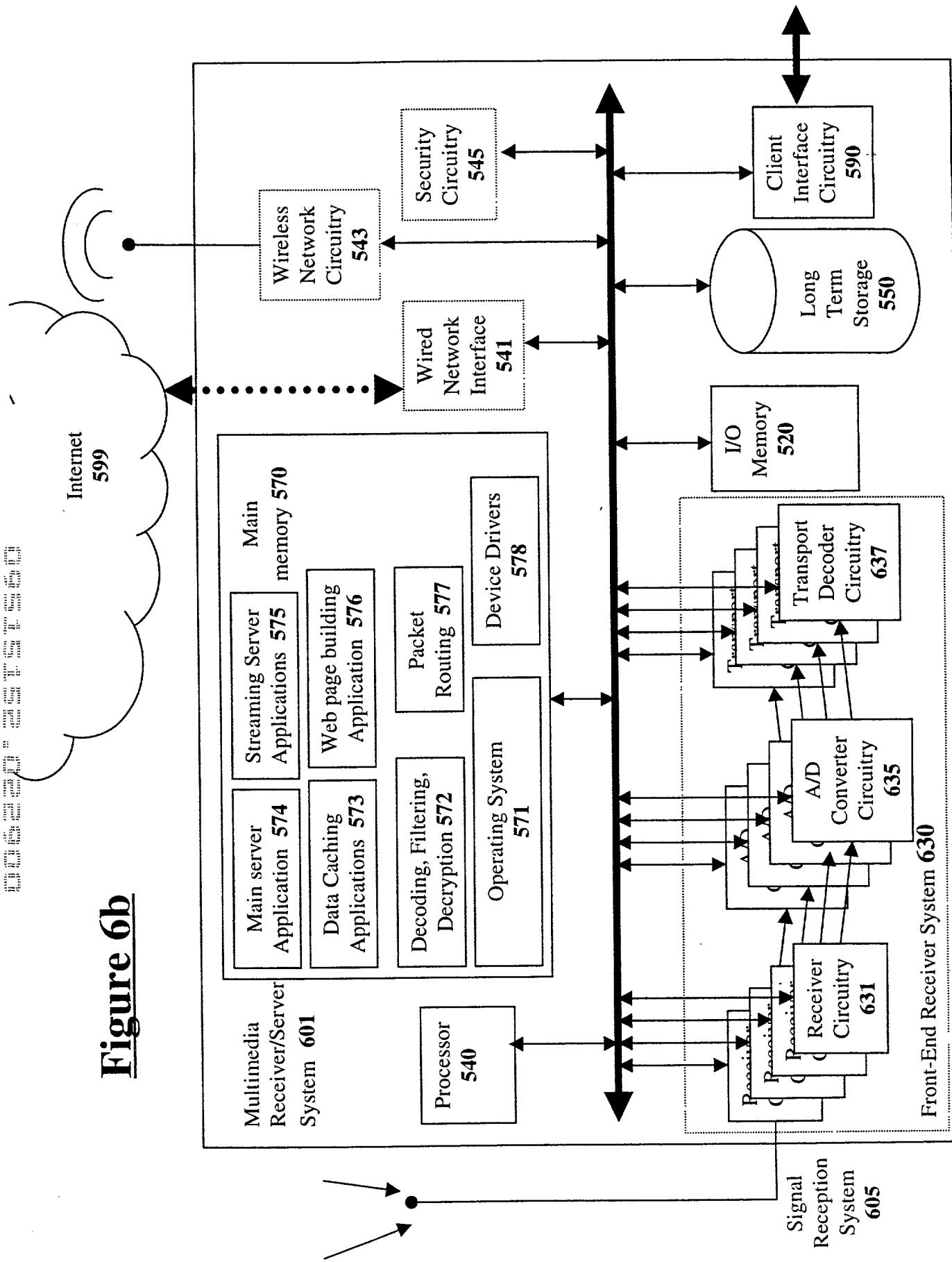
Internet  
599



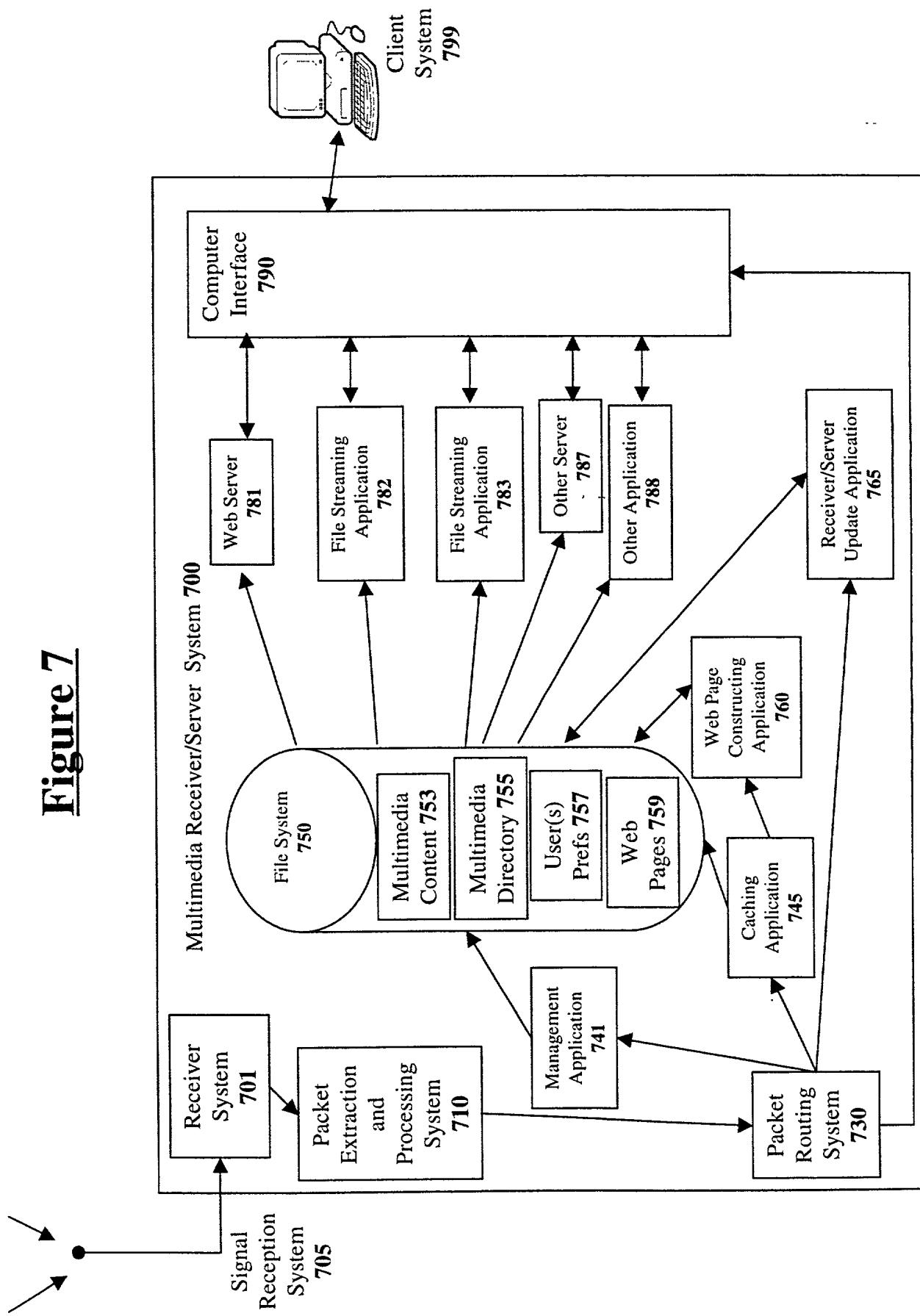
**Figure 6a**



**Figure 6b**



**Figure 7**



## Personal News On-Demand



### LIVE - Serbia Agrees to Leave Kosovo



#### News Headlines

- Serbia Leaves Kosovo
- Manned Mars Mission Launch
- MN Vikings win Superbowl!
- Ice Storm Cripples East Coast
- Dow hits 20,000
- Bill Gates Donates 5 billion

#### Sports Headlines

- MN Vikings defeat 49ers
- NJ Devils trade star wing
- 2008 Olympics in Beijing
- Michael Jordan Coaches Lakers
- Mexico team joins MLB

#### Financial Headlines

- Dow hits 20,000
- Microsoft releases Office for Linux
- GM and Toyota Merge
- AOL and Geocast form joint venture
- Tech stocks gain

#### Science and Technology

- Manned Mars Mission Launch
- International Mars Mission Crew
- Human Genome project complete
- Music ROM format released
- Solar Storm disrupts satellites
- Voyager's last words

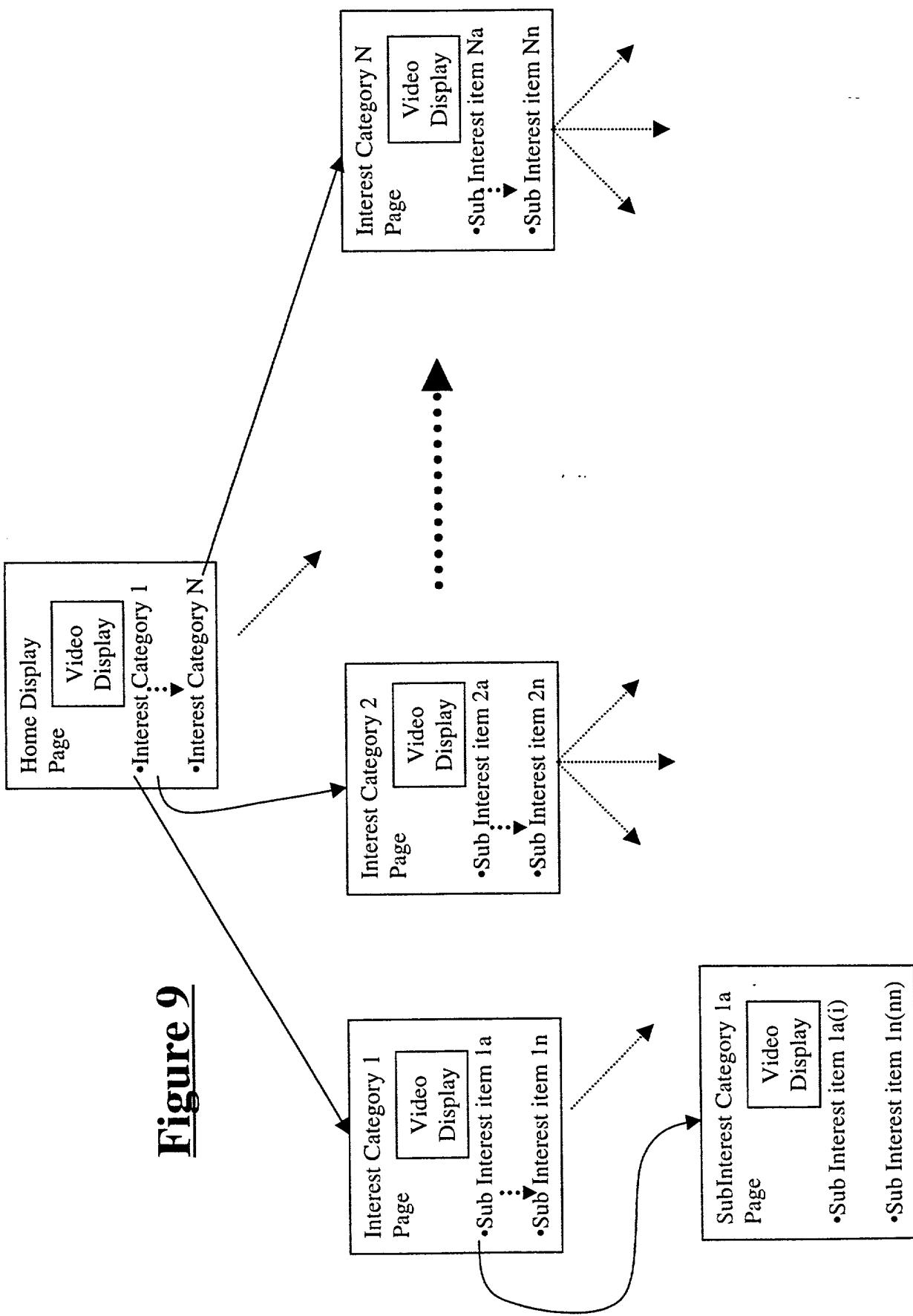
#### Local Information

[News](#) [Weather](#) [Traffic](#) [Sports](#) [Entertainment](#)

Search:

# Figure 8

Figure 9



## Top Sports Headlines      Personal News On-Demand

- MN Vikings win Superbowl!
- NJ Devils trade star wing
- 2008 Olympics in Beijing
- Michael Jordan Coaches Lakers
- Mexico team joins MLB

### NFL Headlines

- MN Vikings defeat 49ers
- Cunningham surprises critics
- MN fans celebrate 1st victory
- 49er fans stunned by loss



### NBA Headlines

- Michael Jordan Coaches Lakers
- NJ Nets defeat Celtics
- Utah Jazz star Smith arrested
- Clippers to leave LA

### NHL Headlines

- NJ Devils trade star wing
- Oilers defeat Blackhawks

### NJ Devils trade star wing LeDue to LA



### Other Sports Headlines

- 2008 Olympics in Beijing
- Michael Jordan Coaches Lakers
- Mexico team joins MLB
- Tennis Legend Passes away at 80
- AL debates designated hitter rule

Local Information  
News Weather Traffic Sports Entertainment

Search:  Geocast

Figure 10

## Personal News On-Demand

### Search Result Headlines

- AOL and Geocast form joint venture
- Geocast celebrates 2 million customers
- AOL and Geocast talks rumored
- No Geocast comment on AOL talks
- Wireless broadband promises
- Geocast stock hits new high

### Possible Related Headlines

- AOL joins DOW Index
- Pointcast generates new strategy
- Digital Television sales soar
- E! to generate data broadcast content

### Internet Headlines

- AOL and Geocast joint venture announced
- Geocast hits 2 million customer mark
- Rumor: AOL and Geocast?
- Geocast stock soars in tech stock rally



### AOL/Geocast Announcement

**Geocast and AOL form joint Venture** - (Mountain View, California) - Geocast and on-line giant America Online (AOL) announced a joint venture to distribute rich multimedia information to AOL's customers using Geocast's Geobox wireless broadband data broadcasting service. The joint venture will allow AOL customers to enjoy rich multimedia content delivered without the delays associated with traditional computer networks. Yada yada yada yada . . .

Local Information  
News Weather Traffic Sports Entertainment

Search:

**Figure 11**

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**A Broadband Data Broadcasting Service**

the specification of which

XX is attached hereto.  
XX was filed on April 16, 1999 as  
United States Application Number 09/293,594  
or PCT International Application Number \_\_\_\_\_  
and was amended on \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>			<u>Priority Claimed</u>
<u>(Number)</u>	<u>(Country)</u>	<u>(Day/Month/Year Filed)</u>	<u>Yes</u> <u>No</u>
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

I hereby claim the benefit under title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below

(Application Number) \_\_\_\_\_

(Application Number) \_\_\_\_\_

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Number) \_\_\_\_\_ Filing Date \_\_\_\_\_ (Status -- patented,  
pending, abandoned) \_\_\_\_\_

(Application Number) \_\_\_\_\_ Filing Date \_\_\_\_\_ (Status -- patented,  
pending, abandoned)

I hereby appoint, Dag H. Johansen, Reg. No. 36,172; my patent agent, with offices located at 100 Independence Drive, Menlo Park, CA 94025, telephone (650) 566-8111, and James R. Thein, Reg. No. 31,710, my patent attorney; with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

Send correspondence to Dag H. Johansen, 100 Independence Drive, Menlo Park, CA 94025 and direct telephone calls to Dag H. Johansen, (650) 566-8111.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole/First Inventor Tsutomu Shimomura

Inventor's Signature  Date 74 Aug 1999

Residence Incline Village, NV Citizenship Japan  
(City, State) (Country)

Post Office Address 318 Ski Way Blvd.  
Incline Village, NV 89450

Full Name of Second/Joint Inventor Steve Waltman

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
(City, State) (Country)

Post Office Address \_\_\_\_\_  
\_\_\_\_\_

Full Name of Third/Joint Inventor Mark Peting

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Tigard, OR Citizenship U.S.A.  
(City, State) (Country)

Post Office Address 13981 SW Aerie  
Tigard, OR 97224

Full Name of Fourth/Joint Inventor Castor Fu

Inventor's Signature Castor Fu Date May 24, 1999

Residence Menlo Park, California Citizenship USA  
(City, State) (Country)

Post Office Address 1508 San Antonio St #F, Menlo Park, CA 94025

Full Name of Fifth/Joint Inventor Dag H. Johansen

Inventor's Signature Dag H. Johansen Date 5/25/99

Residence Palo Alto, CA Citizenship U.S.A.  
(City, State) (Country)

Post Office Address 335 Middlefield  
Palo Alto, CA 94301

Full Name of Sixth/Joint Inventor Geoff Mulligan

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
(City, State) (Country)

Post Office Address \_\_\_\_\_  
\_\_\_\_\_

**Title 37, Code of Federal Regulations, Section 1.56**  
**Duty to Disclose Information Material to Patentability**

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclosure information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclosure all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

(1) Prior art cited in search reports of a foreign patent office in a counterpart application, and

(2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made or record in the application, and

(1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or

(2) It refutes, or is inconsistent with, a position the applicant takes in:

(i) Opposing an argument of unpatentability relied on by the Office, or

(ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

(1) Each inventor named in the application;

(2) Each attorney or agent who prepares or prosecutes the application; and

(3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**A Broadband Data Broadcasting Service**

the specification of which

XX is attached hereto.  
XX was filed on April 16, 1999 as  
United States Application Number 09/293,594  
or PCT International Application Number \_\_\_\_\_  
and was amended on \_\_\_\_\_.  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>			<u>Priority Claimed</u>	
<u>(Number)</u>	<u>(Country)</u>	<u>(Day/Month/Year Filed)</u>	<u>Yes</u>	<u>No</u>
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

I hereby claim the benefit under title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below

---

(Application Number) Filing Date

---

(Application Number) Filing Date

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

---

(Application Number) Filing Date (Status – patented,  
pending, abandoned)

---

(Application Number) Filing Date (Status – patented,  
pending, abandoned)

I hereby appoint, Dag H. Johansen, Reg. No. 36,172; my patent agent, with offices located at 100 Independence Drive, Menlo Park, CA 94025, telephone (650) 566-8111, and James R. Thein, Reg. No. 31,710, my patent attorney; with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

Send correspondence to Dag H. Johansen, 100 Independence Drive, Menlo Park, CA 94025 and direct telephone calls to Dag H. Johansen, (650) 566-8111.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole/First Inventor Tsutomu Shimomura

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Incline Village, NV Citizenship Japan  
(City, State) (Country)

Post Office Address 318 Ski Way Blvd.  
Incline Village, NV 89450

Full Name of Second/Joint Inventor Steve Waltman

Inventor's Signature SW Date 22 June 77

Residence Boulder, CO Citizenship U.S.A.  
(City, State) (Country)

Post Office Address 1441 County Rd 53  
Boulder, CO 80302

Full Name of Third/Joint Inventor Mark Petting

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Tigard, OR Citizenship U.S.A.  
(City, State) (Country)

Post Office Address 13981 SW Aerie  
Tigard, OR 97224

Full Name of Fourth/Joint Inventor Castor Fu

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
(City, State) (Country)

Post Office Address \_\_\_\_\_  
\_\_\_\_\_

Full Name of Fifth/Joint Inventor Dag H. Johansen

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Palo Alto, CA Citizenship U.S.A.  
(City, State) (Country)

Post Office Address 335 Middlefield  
Palo Alto, CA 94301

Full Name of Sixth/Joint Inventor Geoff Mulligan

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
(City, State) (Country)

Post Office Address \_\_\_\_\_  
\_\_\_\_\_

Title 37, Code of Federal Regulations, Section 1.56  
Duty to Disclose Information Material to Patentability

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclosure information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclosure all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made or record in the application, and

- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
- (2) It refutes, or is inconsistent with, a position the applicant takes in:
  - (i) Opposing an argument of unpatentability relied on by the Office, or
  - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) Each inventor named in the application;
  - (2) Each attorney or agent who prepares or prosecutes the application; and
  - (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

A Broadband Data Broadcasting Service

the specification of which

XX is attached hereto.  
XX was filed on April 16, 1999 as  
United States Application Number 09/293,594  
or PCT International Application Number \_\_\_\_\_  
and was amended on \_\_\_\_\_.  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>			<u>Priority Claimed</u>	
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below

---

(Application Number) Filing Date

---

(Application Number) Filing Date

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

---

(Application Number) Filing Date (Status -- patented,  
pending, abandoned)

---

(Application Number) Filing Date (Status -- patented,  
pending, abandoned)

I hereby appoint, Dag H. Johansen, Reg. No. 36,172; my patent agent, with offices located at 100 Independence Drive, Menlo Park, CA 94025, telephone (650) 566-8111, and James R. Thein, Reg. No. 31,710, my patent attorney; with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

Send correspondence to Dag H. Johansen, 100 Independence Drive, Menlo Park, CA 94025 and direct telephone calls to Dag H. Johansen, (650) 566-8111.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole/First Inventor Tsutomu Shimomura

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Incline Village, NV Citizenship Japan  
(City, State) (Country)

Post Office Address 318 Ski Way Blvd.  
Incline Village, NV 89450

Full Name of Second/Joint Inventor Steve Waltman

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
(City, State) (Country)

Post Office Address \_\_\_\_\_  
\_\_\_\_\_

Full Name of Third/Joint Inventor Mark Petting

Inventor's Signature Mark Petting Date 5-26-1989

Residence Tigard, OR Citizenship U.S.A.  
(City, State) (Country)

Post Office Address 13981 SW Aerie  
Tigard, OR 97224

Full Name of Fourth/Joint Inventor Castor Fu

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
(City, State) (Country)

Post Office Address \_\_\_\_\_  
\_\_\_\_\_

Full Name of Fifth/Joint Inventor Dag H. Johansen

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Palo Alto, CA Citizenship U.S.A.  
(City, State) (Country)

Post Office Address 335 Middlefield  
Palo Alto, CA 94301

Full Name of Sixth/Joint Inventor Geoff Mulligan

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
(City, State) (Country)

Post Office Address \_\_\_\_\_  
\_\_\_\_\_

Title 37, Code of Federal Regulations, Section 1.56  
Duty to Disclose Information Material to Patentability

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclosure information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclosure all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

(1) Prior art cited in search reports of a foreign patent office in a counterpart application, and

(2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made or record in the application, and

(1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or

(2) It refutes, or is inconsistent with, a position the applicant takes in:

(i) Opposing an argument of unpatentability relied on by the Office, or

(ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

(1) Each inventor named in the application;

(2) Each attorney or agent who prepares or prosecutes the application; and

(3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**A Broadband Data Broadcasting Service**

the specification of which

       is attached hereto.  
XX was filed on April 16, 1999 as  
United States Application Number 09/293,594  
or PCT International Application Number \_\_\_\_\_  
and was amended on \_\_\_\_\_.  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>			<u>Priority Claimed</u>
<u>(Number)</u>	<u>(Country)</u>	<u>(Day/Month/Year Filed)</u>	<u>Yes</u> <u>No</u>
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

I hereby claim the benefit under title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below

---

(Application Number) \_\_\_\_\_ Filing Date \_\_\_\_\_

---

(Application Number) \_\_\_\_\_ Filing Date \_\_\_\_\_

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

---

(Application Number) \_\_\_\_\_ Filing Date \_\_\_\_\_ (Status -- patented,  
pending, abandoned)

---

(Application Number) \_\_\_\_\_ Filing Date \_\_\_\_\_ (Status -- patented,  
pending, abandoned)

I hereby appoint, Dag H. Johansen, Reg. No. 36,172; my patent agent, with offices located at 100 Independence Drive, Menlo Park, CA 94025, telephone (650) 566-8111, and James R. Thein, Reg. No. 31,710, my patent attorney; with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

Send correspondence to Dag H. Johansen, 100 Independence Drive, Menlo Park, CA 94025 and direct telephone calls to Dag H. Johansen, (650) 566-8111.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole/First Inventor Tsutomu Shimomura

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Incline Village, NV \_\_\_\_\_ Citizenship Japan \_\_\_\_\_  
(City, State) \_\_\_\_\_ (Country) \_\_\_\_\_

Post Office Address 318 Ski Way Blvd.  
Incline Village, NV 89450

Full Name of Second/Joint Inventor Steve Waltman

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
(City, State) (Country)

Post Office Address \_\_\_\_\_  
\_\_\_\_\_

Full Name of Third/Joint Inventor Mark Peting

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Tigard, OR Citizenship U.S.A.  
(City, State) (Country)

Post Office Address 13981 SW Aerie  
Tigard, OR 97224

Full Name of Fourth/Joint Inventor Castor Fu

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
(City, State) (Country)

Post Office Address \_\_\_\_\_  
\_\_\_\_\_

Full Name of Fifth/Joint Inventor Dag H. Johansen

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Palo Alto, CA Citizenship U.S.A.  
(City, State) (Country)

Post Office Address 335 Middlefield  
Palo Alto, CA 94301

Full Name of Sixth/Joint Inventor Geoff Mulligan

Inventor's Signature Geoff Mulligan Date 6/25/99

Residence Co Springs CO Citizenship USA  
(City, State) (Country)

Post Office Address 2175 Cloverdale Dr  
Co Springs CO 80520

**Title 37, Code of Federal Regulations, Section 1.56**  
**Duty to Disclose Information Material to Patentability**

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclosure information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclosure all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
  - (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made or record in the application, and
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
  - (2) It refutes, or is inconsistent with, a position the applicant takes in:
    - (i) Opposing an argument of unpatentability relied on by the Office, or
    - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
  - (1) Each inventor named in the application;
  - (2) Each attorney or agent who prepares or prosecutes the application; and
  - (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.